



U.S. Department  
of Transportation  
**Pipeline and Hazardous  
Materials Safety  
Administration**

1200 New Jersey Avenue SE  
Washington DC 20590

## PIPELINE SAFETY

### 2011 State Damage Prevention Grant

for

**Kentucky Underground Protection Inc**

Please follow the directions listed below:

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign page 2.
3. Fasten all pages with a paper or binder clip - no staples please as this package will be scanned upon it's arrival at PHMSA.
4. Mail the entire document, including this cover page to the following:

**ATTN: Maria Munoz  
U.S. Department of Transportation  
Pipeline & Hazardous Materials Safety Administration  
Office of Contracts and Procurement (PHA-30)  
1200 New Jersey Avenue, SE Second Floor E22-305  
Washington, D.C. 20590**

#### **FedSTAR Information**

Submission Date: 9/8/2010 12:22:27 PM



**Pipeline and Hazardous Materials Safety Administration**  
**1200 New Jersey Avenue, SE**  
**Washington DC 20590**

DEPARTMENT OF TRANSPORTATION

APPLICATION

2011 GRANT PROGRAM IN SUPPORT OF STATE DAMAGE PREVENTION

The Kentucky Underground Protection Inc hereby applies to the Department of Transportation for Federal funds appropriated for the support of State Damage Prevention Programs established under 49 U.S.C. Section 60134 et seq.

The State agency plans to carry out the State Damage Prevention Program, during calendar year 2011, as described in Attachment 1, "Project Abstract/Statement of Objectives". To accomplish the program, the state agency proposes to expend funds as set forth in Attachment 4, "State Damage Prevention Estimated Budget".

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Signature

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Title

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Date

## Project Abstract/Statement of Objectives

**Please provide a clear and concise description of the work this grant will fund for calendar year 2011**

Kentucky Underground Protection, Inc., DBA "Kentucky 811", seeks funding to enhance the statewide damage prevention program in accordance with the nine elements of an effective damage prevention program as outlined in the PIPES Act.

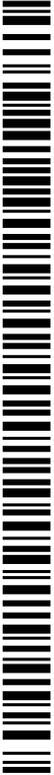
Kentucky's existing damage prevention program is focused around its "call before you dig law" and the activities of Kentucky 811's Board of Directors, committees and staff. While a number of damage prevention stakeholders, including pipeline operators, engage in damage prevention activities independently of Kentucky 811, no statewide coordinated effort exists to provide all stakeholders the opportunity to participate in developing Kentucky's damage prevention program.

Kentucky's "call before you dig law" contains provisions for enforcement but are extremely vague and need a great deal of clarity through legislative efforts. Legislative enhancements have been attempted in the past but were met with stiff opposition due to the lack of real data to support the propositions being presented. It is believed that at least some of that resistance is based on a lack of knowledge about the important role of a strong call before you dig law in an effective damage prevention plan. Additional efforts at the grass roots level are required to gain the support needed for legislation.

Using the State Damage Prevention Grant, Kentucky 811 plans to continue the current program to fund an individual whose responsibilities will include:

- ? Continue statewide, random quality control audits of the dig process, tracking the entire life-cycle from receipt of locate notification to completion of the actual excavation. This will also include actively seeking out excavation sites for which it appears no notification was made and working with the responsible parties to become compliant with Kentucky Law.
- ? Collect damage data from incidents throughout the state and perform analysis to discover the areas of non-compliance with the call before you dig law. The collected data will also be used to develop cause and affect trends and will be studied to reveal behavioral patterns. This will involve obtaining data from all parties involved and preparing a case study of each incident. Much of this data will be obtained directly from the site of the incident.
- ? Develop "Law Enforcement Damage Prevention Kits" that include, among other items, pre-printed fill-in-the-blank citation forms and the necessary education and training literature for law enforcement agencies to enhance the understanding of the Kentucky Law and the potential magnitude of non-compliance. Additionally, training programs and materials will be required for the Court Clerks and County Clerks to properly process and apply the proceeds from paid citations. NOTE: Kentucky law requires that any fines collected as penalties be paid to the general fund of the state, county or city where the violation occurred. Kentucky 811 is prohibited from receiving any fines, proceeds, awards or any other form of compensation that result from citations.
- ? Work with community leaders to establish regional Damage Prevention Councils (Regional CGA's) in the metropolitan areas that are experiencing high levels of facility damage.

? Create and distribute reports of findings from field audits/discussions, interviews, damage investigations and occurrence frequencies to all stakeholder groups and through the community damage prevention councils and the regional CGA's



## State Damage Prevention Elements

### **ELEMENT 1 - EFFECTIVE COMMUNICATIONS**

"Participation by operators, excavators, and other stakeholders in the development and implementation of methods for establishing and maintaining effective communications between stakeholders from receipt of an excavation notification until successful completion of the excavation, as appropriate."

**Does the proposed project address this element? (Required)** Yes

**Describe any existing state initiatives that support this element: (Required)**

Regional Damage Prevention Council currently exists in Northern Kentucky.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

This project will establish Regional Damage Prevention Councils in 4 additional metropolitan areas of Kentucky. These councils will be affiliated with the CGA and serve as a platform for bringing all stakeholders in the communities together.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$10,000.00
b. Fringe Benefits:	\$1,500.00
c. Travel:	\$850.00
d. Equipment:	\$3,100.00
e. Supplies:	\$2,000.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$17,450.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$17,450.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

Refer to entire cost and budget document

**ELEMENT 2 - COMPREHENSIVE STAKEHOLDER SUPPORT**

"A process for fostering and ensuring the support and partnership of stakeholders, including excavators, operators, locators, designers, and local government in all phases of the program."

**Does the proposed project address this element? (Required) Yes**

**Describe any existing state initiatives that support this element: (Required)**

During 2010 data was collected, and continues to be collected, that will be presented to stakeholder groups, law enforcement and local government.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

The data collected throughout 2010 will be used in presentations to stakeholder groups and local government to substantiate the need for participation in Damage Prevention Councils and other prevention efforts. The data clearly indicates a lack of knowledge and understanding of the current law and CGA Best Practices. This "disconnect" will be presented to decision makers to garner their support of the prevention efforts.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$10,000.00
b. Fringe Benefits:	\$1,500.00
c. Travel:	\$1,830.00
d. Equipment:	\$2,000.00
e. Supplies:	\$2,000.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$17,330.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$17,330.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

Refer to explanations on cost estimate document submitted

**ELEMENT 3 - OPERATOR INTERNAL PERFORMANCE MEASUREMENT**

"A process for reviewing the adequacy of a pipeline operator's internal performance measures regarding persons performing locating services and quality assurance programs."

**Does the proposed project address this element? (Required)** Yes

**Describe any existing state initiatives that support this element: (Required)**

Dig site audits that follow locate requests from initial notification to the One Call Center through completion of the excavation activity are currently being conducted. The results, which in some cases expose locating deficiencies, are being shared with the operators involved.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

The efforts that were started during 2010 will be continued throughout 2011 with a greater emphasis on working with stakeholder groups and operators to rectify negative activity.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$10,000.00
b. Fringe Benefits:	\$1,500.00
c. Travel:	\$1,830.00
d. Equipment:	\$2,000.00
e. Supplies:	\$2,000.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$17,330.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$17,330.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

The costs associated with this initiative are equally divided across all applicable elements. Please refer to the full cost estimate document for details.

**ELEMENT 4 - EFFECTIVE EMPLOYEE TRAINING**

"Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators."

**Does the proposed project address this element? (Required)** Yes

**Describe any existing state initiatives that support this element: (Required)**

Very little existing activity on this element. We are currently in the data collection phase.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

Training programs, literature and law enforcement kits will be developed to address each area of deficiency discovered throughout the data collection period.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$10,000.00
b. Fringe Benefits:	\$1,500.00
c. Travel:	\$1,830.00
d. Equipment:	\$2,000.00
e. Supplies:	\$2,000.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$17,330.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$17,330.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

The costs associated with the entire project are equally divided across all effected elements. Please refer to the entire cost estimate document for greater detail.

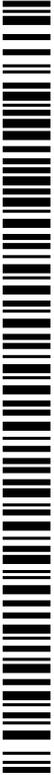
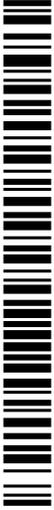
**ELEMENT 5 - PUBLIC EDUCATION**

"A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities."

**Does the proposed project address this element? (Required)** No

**Describe any existing state initiatives that support this element: (Required)**

The One Call Center (Kentucky 811) currently provides Public Awareness training throughout the state. This project is directed specifically at stakeholder groups found to be non-compliant with current laws, best practices and industry standards.



**ELEMENT 6 - DISPUTE RESOLUTION**

"A process for resolving disputes that defines the State authority's role as a partner and facilitator to resolve issues."

**Does the proposed project address this element? (Required)** No

**Describe any existing state initiatives that support this element: (Required)**

Currently this element is resolved between the parties involved. This project does not address this issue.



**ELEMENT 7 - ENFORCEMENT**

"Enforcement of State damage prevention laws and regulations for all aspects of the damage prevention process, including public education, and the use of civil penalties for violations assessable by the appropriate State authority."

**Does the proposed project address this element? (Required) Yes**

**Describe any existing state initiatives that support this element: (Required)**

Law enforcement agencies have descretion to enforce the current laws as they deem necessary.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

Data collected during 2010 and 2011 will be assembled to establish trends and expose deficiencies in the process. A task force will be assembled with all of the pipeline operators with facilities in Kentucky to develop a "Law Enforcement Damage Prevention Kit". Each agency will be trained on the damage prevention process and state dig law and given educational and enforcement tools.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$5,000.00
b. Fringe Benefits:	\$750.00
c. Travel:	\$1,830.00
d. Equipment:	\$3,100.00
e. Supplies:	\$2,500.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$13,180.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$13,180.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

The total costs of this project are being distributed equally acroos all effected elements. Please refer to the entire cost document for greater detail.

**ELEMENT 8 - TECHNOLOGY**

"A process for fostering and promoting the use, by all appropriate stakeholders, of improving technologies that may enhance communications, underground pipeline locating capability, and gathering and analyzing information about the accuracy and effectiveness of locating programs."

**Does the proposed project address this element? (Required)** No

**Describe any existing state initiatives that support this element: (Required)**

The One Call Center currently works with the locating personnel on skills enhancement and training.

**ELEMENT 9 - DAMAGE PREVENTION PROGRAM REVIEW**

"A process for review and analysis of the effectiveness of each program element, including a means for implementing improvements identified by such program reviews."

**Does the proposed project address this element? (Required) Yes**

**Describe any existing state initiatives that support this element: (Required)**

Kentucky has an existing Damage Prevention Council located in Northern Kentucky that has participation from all stakeholder categories.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

Kentucky has an existing Damage Prevention Council located in Northern Kentucky that has participation from all stakeholder categories. This effort has been a huge success and will be duplicated in other communities throughout the state as a part of this project.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$5,000.00
b. Fringe Benefits:	\$750.00
c. Travel:	\$2,268.00
d. Equipment:	\$3,100.00
e. Supplies:	\$2,000.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$13,118.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$13,118.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

All costs for this project have been equally distributed across each effected element. Please refer to the entire cost estimate document for greater detail.

### **Legislative/Regulatory Actions**

**Provide a description of any legislature or regulatory actions (including legislative/regulatory studies) taken by the State within the past five (5) years pertaining to damage prevention program improvement, even if those actions were not completely successful.**

A Bill was filed during the 2010 Kentucky Legislative session to require mandatory membership by all underground facility owners into the state One Call Center. The legislative effort met fierce opposition from the municipal owned utilities and the Kentucky Rural Water Association. The effort was left in committee. A study has been ordered by a State Representative requesting the LRC to report why Kentucky needs and does not have a membership requirement provision in the law. This hearing will be held in November 2010 and will be attended by members of the House of Representatives and the State Senate.

**State Damage Prevention Estimated Budget - Calendar Year 2011**

**DIRECT COSTS**

Personnel .....	\$50,000.00
Fringe Benefits .....	\$7,500.00
Travel .....	\$10,438.00
Equipment .....	\$15,300.00
Supplies .....	\$12,500.00
Contractual .....	
Construction .....	
Other .....	
<b>Total Direct .....</b>	<b>\$95,738.00</b>

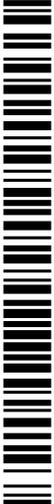
**INDIRECT COSTS**

Indirect Charges .....	
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<b>TOTAL ESTIMATED COSTS</b>	<b>\$95,738.00</b>
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**State Damage Prevention Application Attachments**

- SDPP Grant Governor Letter - signed.pdf
- 2011 Cost Estimates.pdf
- 2011 SDPP GRANT PROJECT ABSTRACT.pdf



**Kentucky Underground Protection, Inc.**

**2011 PHMSA SDP Grant Opportunity Project Costs**

<b>Category</b>	<b>Sub-Category</b>	<b>Description</b>	<b>Amount Requested</b>
<b>Personnel</b>		Salary of person fulfilling objectives of the grant opportunity	50,000
<b>Fringe Benefits</b>		Benefits for employee based on percentage of other employees in the organization.	7,500
<b>Travel</b>			
	Hotels	Required for overnight stay when conducting roll-call training to law enforcement agencies and conducting multi-day audits. Estimated 50 stays at \$85 each.	4,250
	Fuel	Estimated: <ul style="list-style-type: none"> <li>• 45,000 miles driven</li> <li>• 20 miles per gallon</li> <li>• 2,250 gallons needed</li> <li>• \$2.75 per gallon</li> </ul>	6,188
<b>Equipment</b>			
	Audio Visual Equipment	This project requires weekly training presentations to various stakeholder groups. This will require a portable projector and audio equipment.	2,500
	Vehicle	Small SUV Based on estimate from Enterprise Car Rental 11 months at \$1,000 per month	11,000
	Recurring phone charges	Position requires unlimited package for phone and data because the nature of the work is in the field on a daily basis. \$129.00 per month plus taxes	1,800
<b>Supplies</b>			
	Training forms and "Damage Prevention Kit" materials	Includes development, printing and publishing of materials to be distributed to stakeholder groups including law enforcement agencies. Estimated 2,500 kits @\$5 each	12,500
<b>TOTAL REQUESTED FOR PROJECT</b>			<b>95,738</b>

**KENTUCKY UNDERGROUND PROTECTION, INC.  
DBA: KENTUCKY 811 - APPLICATION  
2011 PIPELINE & HAZARDOUS MATERIAL SAFETY ADMINISTRATION  
STATE DAMAGE PREVENTION PROGRAM GRANT**

Kentucky Underground Protection, Inc., DBA “Kentucky 811”, seeks funding to enhance the statewide damage prevention program in accordance with the nine elements of an effective damage prevention program as outlined in the PIPES Act.

Kentucky’s existing damage prevention program is focused around its “call before you dig law” and the activities of Kentucky 811’s Board of Directors, committees and staff. While a number of damage prevention stakeholders, including pipeline operators, engage in damage prevention activities independently of Kentucky 811, no statewide coordinated effort exists to provide all stakeholders the opportunity to participate in developing Kentucky’s damage prevention program.

Kentucky’s “call before you dig law” contains provisions for enforcement but are extremely vague and need a great deal of clarity through legislative efforts. Legislative enhancements have been attempted in the past but were met with stiff opposition due to the lack of real data to support the propositions being presented. It is believed that at least some of that resistance is based on a lack of knowledge about the important role of a strong call before you dig law in an effective damage prevention plan. Additional efforts at the grass roots level are required to gain the support needed for legislation.

Using the State Damage Prevention Grant, Kentucky 811 plans to continue the current program to fund an individual whose responsibilities will include:

- Continue statewide, random quality control audits of the dig process, tracking the entire life-cycle from receipt of locate notification to completion of the actual excavation. This will also include actively seeking out excavation sites for which it appears no notification was made and working with the responsible parties to become compliant with Kentucky Law.
- Collect damage data from incidents throughout the state and perform analysis to discover the areas of non-compliance with the call before you dig law. The collected data will also be used to develop cause and affect trends and will be studied to reveal behavioral patterns. This will involve obtaining data from all parties involved and preparing a case study of each incident. Much of this data will be obtained directly from the site of the incident.
- Develop “Law Enforcement Damage Prevention Kits” that include, among other items, pre-printed fill-in-the-blank citation forms and the necessary education and training literature for law enforcement agencies to enhance the understanding of

the Kentucky Law and the potential magnitude of non-compliance. Additionally, training programs and materials will be required for the Court Clerks and County Clerks to properly process and apply the proceeds from paid citations. NOTE: Kentucky law requires that any fines collected as penalties be paid to the general fund of the state, county or city where the violation occurred. Kentucky 811 is prohibited from receiving any fines, proceeds, awards or any other form of compensation that result from citations.

- Work with community leaders to establish regional Damage Prevention Councils (Regional CGA's) in the metropolitan areas that are experiencing high levels of facility damage.
- Create and distribute reports of findings from field audits/discussions, interviews, damage investigations and occurrence frequencies to all stakeholder groups and through the community damage prevention councils and the regional CGA's

In having this presence in the field, Kentucky hopes to gain a better understanding of the strengths and weaknesses of its damage prevention program and use that information to make improvements. The initial phase of the project will focus on geographic regions where the most damages occur, based on data from multiple sources including census, construction permits, volume of locate requests and known high profile projects, with statewide coverage incorporated as the program evolves. Reports generated will provide information that can be used to develop a legislative package, to measure effectiveness of damage prevention campaigns, develop targeted training and education programs and to maximize the efficiency and effectiveness of the One Call and 811 processes.

Similar activities are currently underway using grant money provided by PHMSA from the 2010 offering of this opportunity. Much of the information gathered from those dig site audits, as well as training and education activities performed apart from the 2010 grant activity, reveal a substantial lack of knowledge and understanding of the Kentucky Dig Law, CGA Best Practices and APWA standards by excavators, locators, law enforcement, emergency responders and government officials. 2011 activities will continue to gather field data and apply the findings and trends to develop the necessary education programs focused on the appropriate stakeholders.

Kentucky law contains minimal enforcement provisions that would be effective if actively enforced. However, lack of knowledge of those provisions, as well as not understanding the frequency of non-compliance by excavators, that has been revealed through the data collected during 2010, prevents them from actively enforcing those provisions.

The major accomplishment of the 2011 program in Kentucky will be to assemble a task force of representatives from all the pipeline operators with facilities in Kentucky to develop a "Law Enforcement Damage Prevention Kit".

Some of the items this kit could include are listed below. The actual final product will be determined by the task force.

- Law enforcement officer training material
- Quick reference guides for officer assistance during investigations
- Informational brochures and literature for distribution during investigations
- DVD training video
- “Uniform Citation Form” specifically designed for use on excavation violations
- Pocket-sized booklet of the Kentucky Dig Law and CGA Best Practices.
- Bumper sticker for officer vehicle
- Q & A sheet
- Where to get additional information.

Current staffing levels and budgetary considerations prohibit Kentucky 811 from providing this program without grant funding. Any program costs that exceed \$100,000 will be absorbed by Kentucky 811.



COMMONWEALTH OF KENTUCKY  
OFFICE OF THE GOVERNOR

STEVEN L. BESHEAR  
GOVERNOR

700 CAPITAL AVENUE  
SUITE 100  
FRANKFORT, KY 40601  
(502) 564-2611  
FAX: (502) 564-2517

April 14, 2008

PHMSA  
Office of Contracts and Procurement  
1200 New Jersey Avenue, SE, E22-228  
Washington, D.C. 20590

Attention: Karina Munoz

Dear Ms. Munoz:

Kentucky Underground Protection, Incorporated, aka Kentucky 811, is applying for a federal grant that is made available through the Pipeline and Hazardous Material Safety Administration (PHMSA) for use by the state designated facility for underground utility damage prevention.

Kentucky 811 was established in 1987 as the "Call Before You Dig" one-call center for Kentucky. The Federal Communications Commission implemented "811" as the national 3 digit call-before-you-dig number during 2007. The Kentucky Public Service Commission designated Kentucky 811 as the sole recipient of dialed 811 calls in Kentucky. Additionally, Kentucky 811 conducts training sessions and seminars promoting safe digging, pipeline safety, and public awareness annually throughout the 120 counties of Kentucky.

With this letter, I designate Kentucky 811 as the state authority eligible to receive this PHMSA-sponsored State Damage Prevention grant.

Title:	"State Damage Prevention Program"
Funding Opportunity Number:	DTPH56-08-SN-0001
CFDA Number:	20.720

Sincerely,

A handwritten signature in black ink, appearing to read "Steve Beshear".

Steven L. Beshear

**Application for Federal Assistance SF-424**

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify) <input type="text"/>
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* 3. Date Received: <input type="text" value="09/08/2010"/>	4. Applicant Identifier: <input type="text"/>
--	--

5a. Federal Entity Identifier: <input type="text"/>	* 5b. Federal Award Identifier: <input type="text"/>
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**State Use Only:**

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
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**8. APPLICANT INFORMATION:**

\* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="61-1121421"/>	* c. Organizational DUNS: <input type="text" value="623096740"/>
--	---

**d. Address:**

* Street1:	<input type="text" value="302 Production Court"/>
Street2:	<input type="text"/>
* City:	<input type="text" value="Louisville"/>
County:	<input type="text"/>
* State:	<input type="text" value="KY: Kentucky"/>
Province:	<input type="text"/>
* Country:	<input type="text" value="USA: UNITED STATES"/>
* Zip / Postal Code:	<input type="text" value="40299-0000"/>

**e. Organizational Unit:**

Department Name: <input type="text"/>	Division Name: <input type="text"/>
--	--

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: <input type="text" value="Mr ."/>	* First Name: <input type="text" value="Timothy"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Vaughn"/>	
Suffix: <input type="text"/>	

Title:

Organizational Affiliation:

* Telephone Number: <input type="text" value="502-442-3160"/>	Fax Number: <input type="text"/>
---	----------------------------------

\* Email:

**Application for Federal Assistance SF-424**

Version 02

**9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

Pipeline & Hazardous Material Safety Administration

**11. Catalog of Federal Domestic Assistance Number:**

20.720

CFDA Title:

State Damage Prevention Program Grants

**\* 12. Funding Opportunity Number:**

DTPH56-11-SN-0001

\* Title:

State Damage Prevention Grants

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

**\* 15. Descriptive Title of Applicant's Project:**

Kentucky Underground Protection Inc State Damage Prevention

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

Version 02

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="95,738.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="95,738.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes
- No

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

**Application for Federal Assistance SF-424**

Version 02

**\* Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

**BUDGET INFORMATION - Non-Construction Programs**

OMB Approval No. 4040-0006  
Expiration Date 07/30/2010

**SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. State Damage Prevention Program		\$	\$	\$ 95,738.00	\$ 0.00	\$ 95,738.00
2.						
3.						
4.						
<b>5. Totals</b>		\$	\$	\$ 95,738.00	\$	\$ 95,738.00

**SECTION B - BUDGET CATEGORIES**

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	SDP				
<b>a. Personnel</b>	\$ 50,000.00	\$	\$	\$	\$ 50,000.00
<b>b. Fringe Benefits</b>	7,500.00				7,500.00
<b>c. Travel</b>	10,438.00				10,438.00
<b>d. Equipment</b>	15,300.00				15,300.00
<b>e. Supplies</b>	12,500.00				12,500.00
<b>f. Contractual</b>	0.00				
<b>g. Construction</b>	0.00				
<b>h. Other</b>	0.00				
<b>i. Total Direct Charges (sum of 6a-6h)</b>	95,738.00				\$ 95,738.00
<b>j. Indirect Charges</b>	0.00				\$
<b>k. TOTALS (sum of 6i and 6j)</b>	\$ 95,738.00	\$	\$	\$	\$ 95,738.00
<b>7. Program Income</b>	\$	\$	\$	\$	\$

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**SECTION C - NON-FEDERAL RESOURCES**

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS
8. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
9. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
10. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
11. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>12. TOTAL (sum of lines 8-11)</b>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

**SECTION D - FORECASTED CASH NEEDS**

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text"/>				
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>15. TOTAL (sum of lines 13 and 14)</b>	\$ <input type="text"/>				

**SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT**

(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b)First	(c) Second	(d) Third	(e) Fourth
16. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
17. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
18. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
19. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>20. TOTAL (sum of lines 16 - 19)</b>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

**SECTION F - OTHER BUDGET INFORMATION**

21. Direct Charges: <input type="text"/>	22. Indirect Charges: <input type="text"/>
23. Remarks: <input type="text"/>	

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## CERTIFICATION REGARDING LOBBYING

### Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

<b>* APPLICANT'S ORGANIZATION</b> <input style="width: 90%;" type="text" value="Kentucky Underground Protection Inc"/>	
<b>* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE</b>	
Prefix: <input style="width: 50px;" type="text" value="Mr."/>	* First Name: <input style="width: 150px;" type="text" value="Timothy"/> Middle Name: <input style="width: 100px;" type="text"/>
* Last Name: <input style="width: 200px;" type="text" value="Vaughn"/>	Suffix: <input style="width: 50px;" type="text"/>
* Title: <input style="width: 200px;" type="text" value="Dir, Reg and External Affairs"/>	
* SIGNATURE: <input style="width: 250px;" type="text" value="Timothy Vaughn"/>	* DATE: <input style="width: 100px;" type="text" value="09/08/2010"/>