



U.S. Department
of Transportation
**Pipeline and Hazardous
Materials Safety
Administration**

1200 New Jersey Avenue SE
Washington DC 20590

PIPELINE SAFETY

2011 State Damage Prevention Grant

for

S. D. PUBLIC UTILITIES COMMISSION

Please follow the directions listed below:

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign page 2.
3. Fasten all pages with a paper or binder clip - no staples please as this package will be scanned upon it's arrival at PHMSA.
4. Mail the entire document, including this cover page to the following:

**ATTN: Maria Munoz
U.S. Department of Transportation
Pipeline & Hazardous Materials Safety Administration
Office of Contracts and Procurement (PHA-30)
1200 New Jersey Avenue, SE Second Floor E22-305
Washington, D.C. 20590**

FedSTAR Information

Submission Date: 8/11/2010 3:36:50 PM



Pipeline and Hazardous Materials Safety Administration
1200 New Jersey Avenue, SE
Washington DC 20590

DEPARTMENT OF TRANSPORTATION

APPLICATION

2011 GRANT PROGRAM IN SUPPORT OF STATE DAMAGE PREVENTION

The S. D. PUBLIC UTILITIES COMMISSION hereby applies to the Department of Transportation for Federal funds appropriated for the support of State Damage Prevention Programs established under 49 U.S.C. Section 60134 et seq.

The State agency plans to carry out the State Damage Prevention Program, during calendar year 2011, as described in Attachment 1, "Project Abstract/Statement of Objectives". To accomplish the program, the state agency proposes to expend funds as set forth in Attachment 4, "State Damage Prevention Estimated Budget".

Signature

Title

Date

Project Abstract/Statement of Objectives

Please provide a clear and concise description of the work this grant will fund for calendar year 2011

After receipt of the results from PHMSA state damage prevention characterization tool earlier this year, we reflected on the three of the nine elements of the PIPES Act not fully implemented in South Dakota. Specifically, South Dakota was found by PHMSA to be deficient in the following areas: partnership in employee training, partnership in public education and data analysis to continually improve program effectiveness. The remaining six elements were found fully implemented.

The South Dakota Pipeline Safety Program intends to draft, evaluate and analyze options to fully implement the remaining three best practice elements in our state. To accomplish this, we broke the project into two parts. Part one will consist of a study of other state regulation, industry best practices, industry data and expert experience. The study will result in model regulation, policies or otherwise an action plan to fully meet PHMSA's expectations regarding the remaining three of the nine elements not fully implemented in South Dakota. Part one of this project will be funded through other means. We are not requesting grant funds be applied to part one of this project.

Part two of our project, however, is not currently funded. If awarded, funds from this grant would be used to fund part two. As PHMSA stresses, stakeholder input and involvement is essential to improve damage prevention. Stakeholders include not only the South Dakota Public Utilities Commission (PUC), South Dakota One Call and pipeline operators but also excavators, other utility operators and homeowners. We do not have an effective means to communicate with representatives from all such stakeholder groups. As such, we have been in contact with various vendors to assist us with a survey process to identify all stakeholders, and effectively communicate with them. South Dakota is uniquely positioned regarding population, terrain and utility density. It is therefore, important to completely communicate with all stakeholders with particular expertise and knowledge of their area. Project objectives include:

- Identification of all stakeholder groups
- Effective communication with stakeholders regarding proposed improvements to damage prevention
- Clear understanding of stakeholder thoughts regarding the same. Specifically, how would the proposed regulation affect you? Which is best? Other suggestions to improve the remaining three elements?
- Legislative or administrative change
- Full implementation of the nine best practice elements thus meeting PHMSA's expectation of state damage prevention.

State Damage Prevention Elements

ELEMENT 1 - EFFECTIVE COMMUNICATIONS

"Participation by operators, excavators, and other stakeholders in the development and implementation of methods for establishing and maintaining effective communications between stakeholders from receipt of an excavation notification until successful completion of the excavation, as appropriate."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 1 Enhanced Communication between Operators and Excavators

SD has a call center operational 24 hours per day, 7 days per week.

Excavators are required to call the center at least 48 hours prior to the excavation start time.

SD statutes and rules clearly differentiate between the role of the call center, the One Call Board, the PUC and operators.

Excavators are required to call either the call center or the operators directly if damage occurs.

ELEMENT 2 - COMPREHENSIVE STAKEHOLDER SUPPORT

"A process for fostering and ensuring the support and partnership of stakeholders, including excavators, operators, locators, designers, and local government in all phases of the program."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

As was previously stated, South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 2 Fostering Support and Partnership of all Stakeholders

The One Call Board is a Governor-appointed Board administered by the PUC.

The Board holds open meetings at least every calendar quarter.

Stakeholders are encouraged to participate and may include any item of interest on a Board agenda.

The Board conducts outreach activities throughout the year.

The Board contains members from several stakeholder groups.

ELEMENT 3 - OPERATOR INTERNAL PERFORMANCE MEASUREMENT

"A process for reviewing the adequacy of a pipeline operator's internal performance measures regarding persons performing locating services and quality assurance programs."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

As was previously stated, South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 3 Operator's Use of Performance Measures for Locators

The PUC pipeline safety inspectors review operator qualification plans during inspections. During such inspections, compliance with state law is noted as are procedures and internal training.

ELEMENT 4 - EFFECTIVE EMPLOYEE TRAINING

"Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

As was previously stated, South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 4 Partnership in Employee Training ? not fully implemented

From the characterization tool results the following two subelements of Element 4 are partially implemented.

4.b Training curricula are prepared, readily available, and periodically reviewed for needed changes. (PHMSA

Briefly reviewed this year during the inspections. Based on what the companies are doing for OQ. Call Center is responsible for excavator training

4.c Employee training programs and the development process for these programs are periodically evaluated for effectiveness and needed changes. (PHMSA) 0

Briefly reviewed this year during the inspections. Based on what the companies are doing for OQ. Call Center is responsible for excavator training

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

The proposed project (although focused on improving the three of the nine elements not fully implemented) will impact all best practice elements. Below, the nine elements are listed along with this project's impact on each.

Element 4 Partnership in Employee Training ? not fully implemented

Phase One of our project will propose specific regulation or policy to improve this element.

Our goal is to meet PHMSA's expectations to fully implement all best practice elements.

Phase Two of our project will be communication with all stakeholders regarding the proposed changes. We are confident stakeholders will help further develop and polish the proposal to best meet our goals and take into consideration unique South Dakota interests.

The funding requested is for Phase II.

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$10,000.00
g. Construction:	\$0.00
h. Other:	\$0.00
i. Total Direct Charges (sum of a through h):	\$10,000.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$10,000.00

Budget Narrative for this element: (Required only if proposal addresses this element)

Phase II Funding for Element 4

Based on an attached estimate received from a consulting firm for a reduced scope of work compared to this request, we believe the overall cost will not exceed \$40,000 to draft and execute an effective survey and communication process throughout our state among all stakeholders with an estimate of \$10,000 of that to improve Element 4.

ELEMENT 5 - PUBLIC EDUCATION

"A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

As was previously stated, South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 5 Partnership in Public Education ? not fully implemented

From the characterization tool, the following subelement of Element 5 was rated fully implemented:

5.a Public education programs are used to promote compliance. A single entity is charged to promote comprehensive and appropriate programs to educate all stakeholders about the existence and content of the damage prevention laws and regulations. This is not meant to discourage individual stakeholders from providing educational programs. (CGA Best Practices v. 6.0, Best Practice 7-1 A)

Annual meetings open to the public. No single entity. Individual groups or partnerships with PUC.

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

The proposed project (although focused on improving the three of the nine elements not fully implemented) will impact all best practice elements. Below, the nine elements are listed along with this project's impact on each.

Element 5 Partnership in Public Education ? not fully implemented

Phase One of our project will propose specific regulation or policy to improve this element.

Our goal is to meet PHMSA's expectations to fully implement all best practice elements.

Phase Two of our project will be communication with all stakeholders regarding the proposed changes. We are confident stakeholders will help further develop and polish the proposal to best meet our goals and take into consideration unique South Dakota interests.

This funding request is for Phase II.

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$20,000.00
g. Construction:	\$0.00
h. Other:	\$0.00
i. Total Direct Charges (sum of a through h):	\$20,000.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$20,000.00

Budget Narrative for this element: (Required only if proposal addresses this element)

Based on an estimate received from a consulting firm for a reduced scope of work compared to this request, we believe the overall cost will not exceed \$40,000 to draft and execute an effective survey and communication process throughout our state among all stakeholders with an estimate of \$20,000 dedicated to element 5.

ELEMENT 6 - DISPUTE RESOLUTION

"A process for resolving disputes that defines the State authority's role as a partner and facilitator to resolve issues."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

As was previously stated, South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 6 Enforcement Agency's Role to Help Resolve Issues

The PUC and the One Call Board, both state agencies, share enforcement responsibilities. Both agencies have clearly defined roles.

An informal dispute resolution process is always available through the Board's executive director.

If enforcement becomes necessary, the Board hears the complaint, giving all parties due process and opportunity to speak.

ELEMENT 7 - ENFORCEMENT

"Enforcement of State damage prevention laws and regulations for all aspects of the damage prevention process, including public education, and the use of civil penalties for violations assessable by the appropriate State authority."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

As was previously stated, South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 7 Fair and Consistent Enforcement of the Law

Enforcement authority is defined in state statute as are penalty amounts and measures.

State law defines the complaint process from beginning to end assuring all parties are given due process to be heard in front of a balanced Board.

The enforcement process is continually reviewed, and members of the public can attend meetings or provide input regarding change.

While the PUC ultimately can pursue a violation in circuit court, all alleged violations begin with a hearing in front of the Board, a stakeholder group.

ELEMENT 8 - TECHNOLOGY

"A process for fostering and promoting the use, by all appropriate stakeholders, of improving technologies that may enhance communications, underground pipeline locating capability, and gathering and analyzing information about the accuracy and effectiveness of locating programs."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

As was previously stated, South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 8 Use of Technology to Improve the Locating Process

The Board and its executive director, along with the call center, are continually evaluating technology in the marketplace to improve the process.

The center has a backup center and can remain operational even in times of disaster.

ELEMENT 9 - DAMAGE PREVENTION PROGRAM REVIEW

"A process for review and analysis of the effectiveness of each program element, including a means for implementing improvements identified by such program reviews."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

As was previously stated, South Dakota has fully implemented six of the nine best practice elements. Each element is listed below with specific South Dakota initiatives to facilitate each:

Element 9 Data Analysis to Continually Improve Program Effectiveness ? not fully implemented

From the characterization tool results, the following subelements of Element 9 were rated full or partially implemented

9.a

Data analysis and program evaluation are used to support the effectiveness of the program and the One Call law, identification and implementation of program improvements, such as process changes, enforcement actions, legislative actions, rulemaking/regulatory actions, and decisions regarding resource allocation. (PHMSA)

Call Center data is used ? track several data points through Call Center. Only missing piece is comprehensive damage data. No increase in complaints. DIRT is available and reporting encouraged.

9.b

The one call center establishes and monitors performance standards for the operation of the center, including average speed of answer, abandoned call rate, busy signal rate, customer satisfaction, locate request quality, and notification delivery. (CGA Best Practices v. 6.0, Best Practice 3-23)

9.d

Training and education on how and when to complete the damage reporting form (via DIRT or equivalent) is made available. (CGA Best Practices v. 6.0, Best Practice 9-8)

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

The proposed project (although focused on improving the three of the nine elements not fully implemented) will impact all best practice elements. Below, the nine elements are listed along with this project's impact on each.

Element 9 Data Analysis to Continually Improve Program Effectiveness ? not fully implemented

Phase One of our project will propose specific regulation or policy to improve this element. Our goal is to meet PHMSA's expectations to fully implement all best practice elements. Phase Two of our project will be communication with all stakeholders regarding the proposed changes. We are confident stakeholders will help further develop and polish the proposal to best meet our goals and take into consideration unique South Dakota interests. This funding request is for Phase II.

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$10,000.00
g. Construction:	\$0.00
h. Other:	\$0.00
i. Total Direct Charges (sum of a through h):	\$10,000.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$10,000.00

Budget Narrative for this element: (Required only if proposal addresses this element)

Based on an estimate received from a consulting firm for a reduced scope of work compared to this request, we believe the overall cost will not exceed \$40,000 to draft and execute an effective survey and communication process throughout our state among all stakeholders with an estimate of \$10,000 dedicated to improving element 9.

Legislative/Regulatory Actions

Provide a description of any legislature or regulatory actions (including legislative/regulatory studies) taken by the State within the past five (5) years pertaining to damage prevention program improvement, even if those actions were not completely successful.

The PUC reviews its statutes and administrative rules annually in search of improvement. While small statutory changes have taken place throughout the years, a major improvement to our rules came in the form of pipeline safety rules. The process began in March of 2009 and was completed in October of the same year. The rules establish clear expectations for operators and pipeline safety inspectors. The process involved input from all operators in this state as well an evaluation of surrounding state regulation. In addition, the One Call Board added a "high consequence facility" distinction and regulation to its administrative rules in 2009. The added regulation requires site visits prior to excavation on particular types of high consequence infrastructure including pipelines.

State Damage Prevention Estimated Budget - Calendar Year 2011

DIRECT COSTS

Personnel	
Fringe Benefits	
Travel	
Equipment	
Supplies	
Contractual	\$40,000.00
Construction	
Other	
Total Direct	\$40,000.00

INDIRECT COSTS

Indirect Charges

TOTAL ESTIMATED COSTS	\$40,000.00
------------------------------	--------------------

State Damage Prevention Application Attachments

Gov Letter 8-10-10.pdf
PSI Quote Sheet PUC.pdf





3022 W. St. Louis St.
Rapid City, SD 57702

Tel: 605-737-0377
Fax: 605-355-6961

Title: SD Public Utilities Commission								
Project No.:			Contract For: Survey Consultant Services					
Contract No:			Proposed Contract Price:			\$28,336.98		
Period of Performance			07/15/10 - 12/31/10		Work Location: Rapid City, SD			
Item	Unit of Measure	Quantity	Material UNIT COST	Material COSTS* Total	LABOR COSTS per unit	LABOR COSTS Total	OTHER DIRECT COSTS	Line Total
Contract Manager	HR	0			\$109.90	\$0.00		\$0.00
Engineer-PE	HR	0			\$113.80	\$0.00		\$0.00
Civil Engineer	HR	0			\$94.20	\$0.00		\$0.00
Sr. Consultant	HR	330			\$78.50	\$25,905.00		\$25,905.00
Overtime	HR	0			\$117.75	\$0.00		\$0.00
Engineer- Mid Level	HR	0			\$70.65	\$0.00		\$0.00
Overtime	HR	0			\$105.98	\$0.00		\$0.00
Engineering Technician-Mid Level	HR	0			\$52.81	\$0.00		\$0.00
Overtime	HR	0			\$79.22	\$0.00		\$0.00
Administrative	HR	80			\$39.19	\$3,135.20		\$0.00
Travel	days	3					276.00	\$828.00
Subtotal		0						\$26,733.00
Sales tax		6%						\$1,603.98
TOTAL PRICE								\$28,336.98
DATE: _____			FIRM NAME: _____					
TITLE: _____			BY: _____					



3022 W. St. Louis St.
Rapid City, SD 57702

Tel: 605-737-0377
Fax: 605-355-6961

Quote Assumptions:

1. The rates are based on a hourly rate.
2. There is no charge for travel within the city limits. The reimbursable rate for mileage outside the city limits is 0.50 \$/mile. Lodging (\$50) and per diem (\$26) are at the State Rate. Three days of travel are estimated from Rapid City to Pierre.
3. The Contract Manager charge is waived.
4. Hours are approximate. Actual hours will be charged.
5. Taxes will be waived with a tax exemption certificate.



STATE OF SOUTH DAKOTA
M. MICHAEL ROUNDS, GOVERNOR

August 10, 2010

Mr. Warren Osterberg, Contracting Officer
U.S. Department of Transportation
Pipeline and Hazardous Materials Safety Administration
ATTN: Office of Contracts and Procurement (PHA-30)
1200 New Jersey Avenue, S.E.
Room E22-305
Washington, DC 20590

Ref. DTPH56-11-SN-0001, 2011

Dear Mr. Osterberg:

This notice is to designate the South Dakota Public Utilities Commission (PUC) as the agency authorized to receive a State Damage Prevention grant in calendar year 2011.

This office is supportive of the agency's request for funding to improve the damage prevention program in South Dakota.

Questions about the program or the grant application can be directed to the PUC at 605.773.3201.

Sincerely,

A handwritten signature in black ink, appearing to read "M. Michael Rounds".

M. Michael Rounds

MMR:ls

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify) <input type="text"/>
--	--	---

* 3. Date Received: <input type="text" value="08/11/2010"/>	4. Applicant Identifier: <input type="text"/>
--	--

5a. Federal Entity Identifier: <input type="text"/>	* 5b. Federal Award Identifier: <input type="text"/>
--	---

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

8. APPLICANT INFORMATION:

* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="46-6000364"/>	* c. Organizational DUNS: <input type="text" value="604570572"/>
--	---

d. Address:

* Street1:	<input type="text" value="500 E. Capitol Ave."/>
Street2:	<input type="text"/>
* City:	<input type="text" value="Pierre"/>
County:	<input type="text"/>
* State:	<input type="text" value="SD: South Dakota"/>
Province:	<input type="text"/>
* Country:	<input type="text" value="USA: UNITED STATES"/>
* Zip / Postal Code:	<input type="text" value="57501-5070"/>

e. Organizational Unit:

Department Name: <input type="text"/>	Division Name: <input type="text"/>
--	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Nathan"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Solem"/>	
Suffix: <input type="text"/>	

Title:

Organizational Affiliation:

* Telephone Number: <input type="text" value="605-773-4210"/>	Fax Number: <input type="text"/>
---	----------------------------------

* Email:

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

Pipeline & Hazardous Material Safety Administration

11. Catalog of Federal Domestic Assistance Number:

20.720

CFDA Title:

State Damage Prevention Program Grants

*** 12. Funding Opportunity Number:**

DTPH56-11-SN-0001

* Title:

State Damage Prevention Grants

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

*** 15. Descriptive Title of Applicant's Project:**

S. D. PUBLIC UTILITIES COMMISSION State Damage Prevention

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="40,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="40,000.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 4040-0006
Expiration Date 07/30/2010

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. State Damage Prevention Program		\$	\$	\$ 40,000.00	\$ 0.00	\$ 40,000.00
2.						
3.						
4.						
5. Totals		\$	\$	\$ 40,000.00	\$	\$ 40,000.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1) SDP	(2)	(3)	(4)	
a. Personnel	\$ 0.00	\$	\$	\$	\$
b. Fringe Benefits	0.00				
c. Travel	0.00				
d. Equipment	0.00				
e. Supplies	0.00				
f. Contractual	40,000.00				40,000.00
g. Construction	0.00				
h. Other	0.00				
i. Total Direct Charges (sum of 6a-6h)	40,000.00				\$ 40,000.00
j. Indirect Charges	0.00				\$
k. TOTALS (sum of 6i and 6j)	\$ 40,000.00	\$	\$	\$	\$ 40,000.00
7. Program Income	\$	\$	\$	\$	\$

Authorized for Local Reproduction

Standard Form 424A (Rev. 7- 97)
Prescribed by OMB (Circular A -102) Page 1A

SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS
8. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
9. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
10. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
11. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
12. TOTAL (sum of lines 8-11)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text"/>				
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
15. TOTAL (sum of lines 13 and 14)	\$ <input type="text"/>				

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b)First	(c) Second	(d) Third	(e) Fourth
16. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
17. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
18. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
19. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
20. TOTAL (sum of lines 16 - 19)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges: <input type="text"/>	22. Indirect Charges: <input type="text"/>
23. Remarks: <input type="text"/>	

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION

S. D. PUBLIC UTILITIES COMMISSION

* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE

Prefix: Mr. * First Name: Nathan Middle Name:

* Last Name: Solem Suffix:

* Title: Pipeline Safety Program Manager

* SIGNATURE: Nathan Solem

* DATE: 08/11/2010