



U.S. Department  
of Transportation  
**Pipeline and Hazardous  
Materials Safety  
Administration**

1200 New Jersey Avenue SE  
Washington DC 20590

## PIPELINE SAFETY

### 2011 State Damage Prevention Grant

for

**Pennsylvania One Call System Inc**

Please follow the directions listed below:

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign page 2.
3. Fasten all pages with a paper or binder clip - no staples please as this package will be scanned upon it's arrival at PHMSA.
4. Mail the entire document, including this cover page to the following:

**ATTN: Maria Munoz  
U.S. Department of Transportation  
Pipeline & Hazardous Materials Safety Administration  
Office of Contracts and Procurement (PHA-30)  
1200 New Jersey Avenue, SE Second Floor E22-305  
Washington, D.C. 20590**

#### **FedSTAR Information**

Submission Date: 9/8/2010 10:16:35 AM



**Pipeline and Hazardous Materials Safety Administration**  
**1200 New Jersey Avenue, SE**  
**Washington DC 20590**

DEPARTMENT OF TRANSPORTATION

APPLICATION

2011 GRANT PROGRAM IN SUPPORT OF STATE DAMAGE PREVENTION

The Pennsylvania One Call System Inc hereby applies to the Department of Transportation for Federal funds appropriated for the support of State Damage Prevention Programs established under 49 U.S.C. Section 60134 et seq.

The State agency plans to carry out the State Damage Prevention Program, during calendar year 2011, as described in Attachment 1, "Project Abstract/Statement of Objectives". To accomplish the program, the state agency proposes to expend funds as set forth in Attachment 4, "State Damage Prevention Estimated Budget".

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Signature

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Title

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Date

## Project Abstract/Statement of Objectives

**Please provide a clear and concise description of the work this grant will fund for calendar year 2011**

For the 2011 calendar year Pennsylvania One Call System, Inc. (POCS) will develop an outreach program to promote the mandatory membership of underground facility owner/operator, while continuing the call before you dig campaign. Pennsylvania One Call System has the greatest number of facility owner/operator members compared to the other call centers across the nation, and yet there are still hundreds that are not aware or have decided that membership are not necessary. POCS will work with constitute groups across the state to gather information to contact these 'non members'. The 2011 focus will be those classified as manufactured housing communities, and gas gathering line facilities. The commonwealth has experienced on influx of excavation across the state due to geological find of Marcellus shale. It is also POCS desire to ensure the groups that are performing the excavation associated with these projects are aware of their responsibilities to the commonwealth with respect to the Underground Utility Line Protection Law; PA Act 287 as amended by Act 121 of 2008, from call before you dig to facility owner membership once the lines in ground from the drilling. This outreach program will consist of market and awareness tools designed to educate and encourage membership

Pennsylvania is in the startup phase of a new Energy Industry. Marcellus Shale drilling is quickly replacing the excavation work Verizon started in 2004; over 8500 new drilling permits have been filed for in the past year. While Verizon's FIOS effort focused on urban and suburban areas, the Marcellus Shale Industry is in the more rural areas of the Commonwealth. This area saw drilling and excavation at the start of the Oil Industry at the beginning of the last century. This group has been particularly hesitant to join and has expended significant resources to avoid the mandate of participation in Damage Prevention our law has had, since 1986. They have also watered down federal initiatives to compliance with Pipeline safety regulations because of the rural nature of their on shore gathering facilities. We now have a new group in a startup mode, in areas they are unfamiliar with that are performing exploration and midstream pipeline excavation; endangering their workers, the environment and the population in small communities that do not possess the resources to deal with the potential catastrophe this work brings to their municipalities. These new facility owners are also building facilities to accommodate their use of rural roads that cannot handle the truck and equipment traffic needed to build this new industry.

## State Damage Prevention Elements

### **ELEMENT 1 - EFFECTIVE COMMUNICATIONS**

"Participation by operators, excavators, and other stakeholders in the development and implementation of methods for establishing and maintaining effective communications between stakeholders from receipt of an excavation notification until successful completion of the excavation, as appropriate."

**Does the proposed project address this element? (Required)** No

**Describe any existing state initiatives that support this element: (Required)**

Pennsylvania One Call System, Inc. (POCS) is a non-profit 501(c)(6) Pennsylvania Corporation dedicated to minimizing utility service interruptions, reducing on-the-job injuries and deaths, promoting a higher level of public safety and protecting the environment. The company provides a toll free telephone number for anyone to call when digging and requesting location of underground lines and can also be reached using the national call before you dig number of 8-1-1. The service is available 24 hours per day, every day of the year. Information is obtained from the person planning a dig or the person proposing to dig and disseminated to underground facility owner/operators via data transmission to their computers via email, modem, or fax and voice relayed to their emergency personnel when necessary. The system accepts automated responses from facility operators and relays them to the excavator/designer.

In addition, we offer electronic mapping services which provide members with significant savings in time and money. Safety presentations are available to facility owner/operators, excavators, and first responders. We heighten public awareness through our attendance at trade shows and safety conferences, and provide mailing services for compliance with state and federal regulations.

Since its inception in 1972, Pennsylvania One Call System has increased its membership from 6 utilities to 3,364 underground facility owner/operators from the following industries: cable television, electric, gas, propane, pipeline, sewer, telecommunications, telephone, water and government, including state, county, city, borough, townships of the 1st class, townships of the 2nd class, and municipal authorities. Members also include private master meter companies, manufactured housing, and individual entities owning underground facilities which cross public roads.

Use of the service by excavators increased from 389 calls the first year to a peak of 674,045 in 2007. There was a slight decline to 664,395 in 2008 due to the economic slowdown, which continued in 2009 reaching 630,529, taking us back to volumes experienced in 2004/2005. A modest recovery began in March 2010 and it is hoped this trend will continue.

**ELEMENT 2 - COMPREHENSIVE STAKEHOLDER SUPPORT**

"A process for fostering and ensuring the support and partnership of stakeholders, including excavators, operators, locators, designers, and local government in all phases of the program."

**Does the proposed project address this element? (Required)** Yes

**Describe any existing state initiatives that support this element: (Required)**

Pennsylvania One Call System continues to increase the number of facility owner members year after year. POCS has possibly double the number of owner/operator members of any other Call Center in the nation. An established partnership with the Contractor Association agreeing to a fee based system has allowed the small municipal stakeholders free service and significantly discounted for all other municipal members. The ability to offer free service to those smaller municipalities and discounted service to all other municipal members has been used to build participation to over 2,500 municipal entities and approximately another 50 gated communities and non-profit facility owners.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

There are few facility owner/operators exempt from one call membership. Pennsylvania has in the past worked to remove the exemptions within the Underground Utility Line Protection Law. One of POCS goals and objectives is to maximize participation in the One Call System under the Act. Pennsylvania will strive to develop an outreach program to encourage participation of membership for those gathering line facilities starting at the well. POCS will clarify the requirements of the Pipeline Safety Act, as to how it relates to Pennsylvania Law. The commonwealth is experiencing growth in the number of gathering lines as a result of the Marcellus Shale exploration. Most of the exploration companies have limited records on safety practices to protect those lines and those working on the projects. During the 2011 calendar year POCS will work with constituent groups to improve communication and educate facility owner/operators on the reasons for mandatory membership under the Pennsylvania Underground Line Protection Law. The 2011 focus will be on those groups associated with manufactured housing facilities and gas gathering line companies. POCS has over the years established connections with these groups and is looking to build on them and develop educational programs that can be used to encourage participation. Membership with POCS will make the commonwealth a safer place and assist in the prevention of damage to underground facilities.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$0.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$0.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

There is no additional budget for this element.

**ELEMENT 3 - OPERATOR INTERNAL PERFORMANCE MEASUREMENT**

"A process for reviewing the adequacy of a pipeline operator's internal performance measures regarding persons performing locating services and quality assurance programs."

**Does the proposed project address this element? (Required) Yes**

**Describe any existing state initiatives that support this element: (Required)**

The Public Utility Commission regulates the pipeline operator's internal performance measurers. Pennsylvania One Call System conducts training sessions for its members or their agents that lasts a half day and includes review of the Common Ground Alliance Best Practices, locating basics, and practices, as well as, tabletop exercises. This educational process lessens the potential for human error in locating lines.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

The proposed outreach program will encourage membership participation with the One Call Center. Membership with the One Call Center will then offer those gas gatherign line facility owners/operators access to the educational materials offered by Pennsylvania One Call System.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$25,000.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$25,000.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$25,000.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

Pennsylvania One Call System offers three Safety Day events, contract, and locator events annually. The costs of these events vary based on participation and geographical area. POCS has expensed approximately \$150,000 - \$170,000 annually on these events. The PA Safety Day is an annual gathering of professionals from throughout Pennsylvania and surrounding states. Experts from the damage prevention field present industry issues, offer valuable solutions and recommend resources at the event. The get- your-boots-dirty demonstrations allow an attendee to watch a work site scenario unfold as it would in real-life. The locator program objectives explain the definitions and terminology used in PA Act 287 of 1974, as amended, a description of a correct notification, an explanation of marking underground lines with the American Public Works Association (APWA) color code standards, and an illustration of how to respond through the KARL Automated Response to Locates system. POCS also works with public awareness program providers that represent the member pipeline companies. The provider's programs must promote safe digging and

emergency response within the pipeline industry. Additionally; POCS' five liaisons will attend events and perform presentations to organizations to promote the responsibilities of facility owners, and contractors, designers, project owners, etc. as described in the Pennsylvania Underground Utility Line Protection Law.



**ELEMENT 4 - EFFECTIVE EMPLOYEE TRAINING**

"Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators."

**Does the proposed project address this element? (Required) Yes**

**Describe any existing state initiatives that support this element: (Required)**

Pennsylvania One Call System's Education and Compliance committees meet quarterly. Each of these committees are composed of stakeholders. It is during these meetings that the needs of Pennsylvania's stakeholder groups discuss the enforcement of the Underground Utility Line Protection Law, and ideas educational material and training that maybe used to enhance arwarness of all stakeholders. Most recently the center has implemented a new complex project module. As there are additions to the legislation, POCS staff along with board member committee members develop programs to education all stakeholders.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

As the facility owner/operators become members of the One Call Center they are able to take advantage of the training and educational materials. These programs and materials can be used in educationing their staff on the importance of membership and the use of the One Call System.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$0.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$0.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

There is no budget for the element.

**ELEMENT 5 - PUBLIC EDUCATION**

"A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities."

**Does the proposed project address this element? (Required) Yes**

**Describe any existing state initiatives that support this element: (Required)**

POCS education committee and marketing and education department are active in reaching out to all stakeholders. They actively encourage participation in the educational/ training events offered across the state. POCS works with the Department of Labor Law Compliance Bureau Labor & Industry, The Public Utility Commission, and various utility coordinating committees, in offering regular training programs. Pennsylvania's state damage prevention education program establishes strategic relationships in an effort to leverage common resources. In addition to the above mentioned groups, POCS has established working relationships with construction industry associations, Common Ground Alliance regional partners, and American Public Works Chapters. There are three Safety Day events held annually across the state bringing Best Practices to all in attendance. Sessions are held during these three events that help in the education and understanding of the Pennsylvania Utility Line Protection Law.

Each of the five Liaisons employed by POCS contacts all facility owner members at a minimum annually. They also contact each of the members to participate in the educational programs held across the commonwealth. The POCS' Liaisons also present to any member requesting individual training to its employees.

POCS also encourages the use of educational material located on the PA One Call website.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

Targeting these facility owner/operators for the 2011 calendar year will require the development of an outreach program in addition to using the existing educational efforts in which POCS participates. In an effort to make contact and educate these targeted facility owners POCS is working to make the commonwealth a safer place for all. POCS has found that a number of contractors placing dig notifications are facility owner members. If the program reaches the correct individuals we could also decrease the number of projects within the state that are being done without a call, and potentially decrease damages to underground facilities within the state.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$75,000.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$75,000.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$75,000.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

Pennsylvania One Call System's Education Committee has recommended an outreach program that consists of the use of Radio advertising targeting areas across the commonwealth, participation in a banner program, and the use of small news outlets. Radio contracts have been signed with the Pittsburgh Steelers and Philadelphia Eagles networks. The Pittsburgh Steelers contract will offer Pennsylvania One Call System exposure with a graphic message per game on a full bowl LED boards, commercial announcements during the Steelers TV programming and radio network. These announcements are heard in approximately twenty-three counties across four states. The Philadelphia Eagles contract offers POCS exposure commercials during the pre and post game broadcasts. These broadcasts are heard in forty five counties across three states. These two contracts reach excavators doing business within the commonwealth, but residing in bordering states, in addition to the highly populated areas in Pennsylvania. The combined yearly cost for these contracts is approximately \$93,000. The Marketing & Education department is in process of negotiating a contract with Radio Disney and MSA Sports Network. The Radio Disney advertising objective is to create a greater awareness of Pennsylvania One Call System, and provide a natural arena for POCS and their various partners to interact with key stakeholders. In creating the arena Radio Disney will air POCS commercial in anticipation of several key events within the state. Radio Disney estimates for the 2011 calendar year is \$25,000. This does not include the items that are distributed to individuals attending each event. POCS marketing and Education staff attend key meetings/ seminars that the targeted constitute groups hold. There are fees associated with some if these meetings/seminars. POCS Liaisons distribute POCS marketing information to assist in the understanding of why being a member is a good idea, in addition to it being mandatory. Additionally POCS will develop educational pieces to distribute with key points that will encourage membership. These brochures like educational pieces will be used to distribute to the key constitute groups POCS will work with in helping to educate those manufactured housing communities and gas gathering line companies that are not yet members of the one call center.

**ELEMENT 6 - DISPUTE RESOLUTION**

"A process for resolving disputes that defines the State authority's role as a partner and facilitator to resolve issues."

**Does the proposed project address this element? (Required)** No

**Describe any existing state initiatives that support this element: (Required)**

POCS has the authority under the statute to create and administer voluntary payment dispute resolution process for all parties involved. The process may not be used to settle or resolve alleged violations of the law. POCS employs a Compliance Coordinator to interact with agencies, providing information as requested under the Act. The Pennsylvania Department of Labor & Industry is the state agency with oversight responsibility for the POCS. Within the Department of Labor & Industry the Bureau of Labor Law Compliance is responsible for enforcement of the Act. The POCS Board has developed and approved an ADR process. Currently POCS is awaiting volunteers to test the developed process.

**ELEMENT 7 - ENFORCEMENT**

"Enforcement of State damage prevention laws and regulations for all aspects of the damage prevention process, including public education, and the use of civil penalties for violations assessable by the appropriate State authority."

**Does the proposed project address this element? (Required) Yes**

**Describe any existing state initiatives that support this element: (Required)**

Enforcement of the PA damage prevention law is in the jurisdiction of the Pennsylvania Department of Labor & Industry, the Bureau of Labor Law Compliance (DPLI). The Pennsylvania law allows for fines and penalties for any person violating the Act. The DPLI has a process for receiving reports of violations from any stakeholders.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

POCS staff and committees may need to tap the resource of the enforcement agency under the Act if a facility owner/operator fails to become a member of the one call center.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$0.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$0.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

There is no additional budget for this element.

**ELEMENT 8 - TECHNOLOGY**

"A process for fostering and promoting the use, by all appropriate stakeholders, of improving technologies that may enhance communications, underground pipeline locating capability, and gathering and analyzing information about the accuracy and effectiveness of locating programs."

**Does the proposed project address this element? (Required)** No

**Describe any existing state initiatives that support this element: (Required)**

POCS takes advantage of the latest technology available to run the call center and administration functions. POCS management team consistently keeps in touch with new releases of the most recent hardware and software available. Currently POCS staff is process of implementing an Associated Management Software package. This package will be used to improve the process in notifying a facility owner/operator that is currently not a member with POCS. The current system is a database that has become cumbersome in tracking the number of times the POCS staff has notified a particular facility owner/operator. Once implemented POCS will be able to generate meaningful reports that can be used in enforcement of the Act.

**ELEMENT 9 - DAMAGE PREVENTION PROGRAM REVIEW**

"A process for review and analysis of the effectiveness of each program element, including a means for implementing improvements identified by such program reviews."

**Does the proposed project address this element? (Required) Yes**

**Describe any existing state initiatives that support this element: (Required)**

Pennsylvania One Call System (POCS) works with its 36 member board in an effort to ensure the services provided are effective in the prevention of damage to underground facilities. There are committees meetings held on a regular basis to assist in the review and analysis of the services provided by POCS. The board conducts an annual planning meeting to determine the direction the call center should focus its efforts in the upcoming year. An example of an additional service provided by POCS is the newly released Pennsylvania Damage Database Universal Reporting system. This application was developed based on a need to report damages in one repository and then transmit based on the user's setup.

**Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)**

POCS board of directors and the management team meet annually to discuss new ways the center can continue to provide the most efficient and cost-effective one call center in Pennsylvania. In order to meet the objectives of the organization's goals established by the board of directors, POCS must continue to maximize the safety of the general public and all users of the One Call System by minimizing damage to underground facilities, and maximize participation in the One Call System under the Act. There are key groups that the group has decided to target for the 2011 calendar year. As facility owners/operators become members of the One Call System this improves the effectiveness of the POCS damage prevention program.

**Estimated budget for this element: (Required only if proposal addresses this element)**

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
<b>i. Total Direct Charges (sum of a through h):</b>	<b>\$0.00</b>
j. Indirect Charges:	\$0.00
<b>k. TOTAL (sum of i and j):</b>	<b>\$0.00</b>

**Budget Narrative for this element: (Required only if proposal addresses this element)**

There is no additional budget for this element.

## **Legislative/Regulatory Actions**

**Provide a description of any legislature or regulatory actions (including legislative/regulatory studies) taken by the State within the past five (5) years pertaining to damage prevention program improvement, even if those actions were not completely successful.**

Pennsylvania One Call System, Inc. (POCS) is a non-profit 501 (c) (6) Pennsylvania corporation created to protect and prevent damage to underground facilities in order to better ensure public and excavator safety by providing an efficient, cost effective communication network between excavators and underground facility owners/operators. Enactment of Pennsylvania's "Underground Utilities Protection Act" 287 of 1974 as amended in 1986, 1991, 1996, 2004, 2006, and 2008 have continued to improve Excavator and safety across the commonwealth through the automated notification process. Mandatory membership instituted in 1986 has allowed POCS to grow to over 3,300 facility owner members. The ability for members to automatically response through the Center was added in 1996. The Response System has handled over 92% average compliance for nearly 10 years. Enforcement was added as part of the 1996 legislative update. POCS has worked with the Department of Labor and Industry (the Department) to bring about compliance with the Act. The 2006 legislation added interaction to provide the Department information they need to complete their Damage Incident Investigations. Additionally a section dealing with the responsibilities of the "project owner" was added as part of the 2006 legislation. POCS provides education on Damage Prevention and the Legislation via direct presentation to the various stakeholder groups. POCS also has national level technical abilities, a team of exceptional abilities and pervasive reach in the Commonwealth.

Bill Kiger, Executive Director/President, Pennsylvania One Call System, Inc. is actively involved as a member of Geospatial Information & Technology Association, Common Ground Alliance Best Practices, One Call Systems International, American Public Works Association, Civil Air Patrol ? Pennsylvania Wing, and the Pennsylvania State 911 Task Force. Bill was elected the CGA Hall of Fame in 2008, and in his 36th year of guiding damage prevention efforts in the Commonwealth and across the industry. Bill along with the POCS board of directors has worked to minimize the member exemptions allowed under the Law. They have also worked on language to minimize the excavation activities that are exempted from the one call requirement.

State Damage Prevention Estimated Budget - Calendar Year 2011

**DIRECT COSTS**



Personnel .....	
Fringe Benefits .....	
Travel .....	
Equipment .....	
Supplies .....	
Contractual .....	
Construction .....	
Other .....	\$100,000.00
<b>Total Direct .....</b>	<b>\$100,000.00</b>

**INDIRECT COSTS**

Indirect Charges .....	
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<b>TOTAL ESTIMATED COSTS</b>	<b>\$100,000.00</b>
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**State Damage Prevention Application Attachments**

EGRtoUSDOT-PUCgrantapplication\_8 30 10.pdf





COMMONWEALTH OF PENNSYLVANIA  
OFFICE OF THE GOVERNOR  
HARRISBURG

August 30, 2010

THE GOVERNOR

Warren Osterberg  
Project Manager  
U.S. Department of Transportation  
Pipeline and Hazardous Materials Safety Administration  
Office of Contracts and Procurement (PHA -30)  
1200 New Jersey Avenue, SE  
Room E22-305  
Washington, DC 20590

RE: Program Solicitation  
State Damage Prevention (SDP) Grant - 2011  
Catalog of Federal Domestic Assistance Number: 20.720 "State Damage Prevention Grants"  
PHMSA Funding Opportunity Number: DTPH-56-11-SN-0001

Dear Mr. Osterberg:

This letter will certify that the Pennsylvania Public Utility Commission is authorized to apply for funding from the Pipeline & Hazardous Material Safety Administration Damage Prevention Grant Program and to provide written certification required to receive the grant.

The Pennsylvania Public Utility Commission will be partnering with Pennsylvania One Call System, to continue enhancing the Damage Prevention and reporting capabilities of the One Call Center and service to its nearly 3,500 member underground facility owner/operators as prescribed under the Pennsylvania Underground Utility Act. The funds awarded from this grant will be used to meet the goals for the 2011 calendar year, as established by Pennsylvania One Call System's Board of Directors.

If you have any questions or need additional information, please feel free to contact Paul Metro, Bureau of Transportation and Safety with the Pennsylvania Public Utility Commission at (717) 787-1063.

Sincerely,

A handwritten signature in black ink that reads "Edward G. Rendell".

Edward G. Rendell  
Governor

**Application for Federal Assistance SF-424**

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify) <input type="text"/>
--	--	---

* 3. Date Received: <input type="text" value="09/08/2010"/>	4. Applicant Identifier: <input type="text"/>
--	--

5a. Federal Entity Identifier: <input type="text"/>	* 5b. Federal Award Identifier: <input type="text"/>
--	---

**State Use Only:**

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

**8. APPLICANT INFORMATION:**

\* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="25-1358395"/>	* c. Organizational DUNS: <input type="text" value="048272686"/>
--	---

**d. Address:**

* Street1:	<input type="text" value="925 Irwin Run Road"/>
Street2:	<input type="text"/>
* City:	<input type="text" value="West Mifflin"/>
County:	<input type="text"/>
* State:	<input type="text" value="PA: Pennsylvania"/>
Province:	<input type="text"/>
* Country:	<input type="text" value="USA: UNITED STATES"/>
* Zip / Postal Code:	<input type="text" value="15122-0000"/>

**e. Organizational Unit:**

Department Name: <input type="text"/>	Division Name: <input type="text"/>
--	--

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="William"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Kiger"/>	
Suffix: <input type="text"/>	

Title:

Organizational Affiliation:

* Telephone Number: <input type="text" value="412-464-7111"/>	Fax Number: <input type="text" value="412-464-7104"/>
---	---

\* Email:

**Application for Federal Assistance SF-424**

Version 02

**9. Type of Applicant 1: Select Applicant Type:**

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

Pipeline & Hazardous Material Safety Administration

**11. Catalog of Federal Domestic Assistance Number:**

20.720

CFDA Title:

State Damage Prevention Program Grants

**\* 12. Funding Opportunity Number:**

DTPH56-11-SN-0001

\* Title:

State Damage Prevention Grants

**13. Competition Identification Number:**

Title:

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

**\* 15. Descriptive Title of Applicant's Project:**

Pennsylvania One Call System Inc State Damage Prevention

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

Version 02

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="100,000.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="100,000.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes
- No

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

**Application for Federal Assistance SF-424**

Version 02

**\* Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

**BUDGET INFORMATION - Non-Construction Programs**

OMB Approval No. 4040-0006  
Expiration Date 07/30/2010

**SECTION A - BUDGET SUMMARY**

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. State Damage Prevention Program		\$	\$	\$ 100,000.00	\$ 0.00	\$ 100,000.00
2.						
3.						
4.						
5. <b>Totals</b>		\$	\$	\$ 100,000.00	\$	\$ 100,000.00

**SECTION B - BUDGET CATEGORIES**

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	SDP				
a. Personnel	\$ 0.00	\$	\$	\$	\$
b. Fringe Benefits	0.00				
c. Travel	0.00				
d. Equipment	0.00				
e. Supplies	0.00				
f. Contractual	0.00				
g. Construction	0.00				
h. Other	100,000.00				100,000.00
i. Total Direct Charges (sum of 6a-6h)	100,000.00				\$ 100,000.00
j. Indirect Charges	0.00				\$
k. TOTALS (sum of 6i and 6j)	\$ 100,000.00	\$	\$	\$	\$ 100,000.00
7. Program Income	\$	\$	\$	\$	\$

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**SECTION C - NON-FEDERAL RESOURCES**

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS
8. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
9. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
10. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
11. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>12. TOTAL (sum of lines 8-11)</b>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

**SECTION D - FORECASTED CASH NEEDS**

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text"/>				
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>15. TOTAL (sum of lines 13 and 14)</b>	\$ <input type="text"/>				

**SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT**

(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b)First	(c) Second	(d) Third	(e) Fourth
16. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
17. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
18. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
19. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>20. TOTAL (sum of lines 16 - 19)</b>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

**SECTION F - OTHER BUDGET INFORMATION**

21. Direct Charges: <input type="text"/>	22. Indirect Charges: <input type="text"/>
23. Remarks: <input type="text"/>	

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## CERTIFICATION REGARDING LOBBYING

### Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

### Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

<b>* APPLICANT'S ORGANIZATION</b>	
<input style="width: 100%;" type="text" value="Pennsylvania One Call System Inc"/>	
<b>* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE</b>	
Prefix: <input style="width: 100px;" type="text" value="Mr."/>	* First Name: <input style="width: 200px;" type="text" value="William"/> Middle Name: <input style="width: 150px;" type="text"/>
* Last Name: <input style="width: 300px;" type="text" value="Kiger"/>	Suffix: <input style="width: 80px;" type="text"/>
* Title: <input style="width: 250px;" type="text" value="President"/>	
<b>* SIGNATURE:</b> <input style="width: 300px;" type="text" value="Sherry Harim"/>	<b>* DATE:</b> <input style="width: 150px;" type="text" value="09/08/2010"/>