

2015 State Damage Prevention Program Grants Progress Report
Funding Opportunity Number: DTPH56-15-SN-000001
CFDA Number: 20.720

Award Number: DTPH56-15-GPPS03 \$100,000.00
Effective Date: September 30, 2015 (To Sept 29, 2016)
Project Title: Utility Notification Center of Colorado State Damage Prevention

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Specific Objective(s) of the Agreement

[Cut and paste from Article II, Section 2.03 of your agreement.]

Section 2.03 Specific Objective(s) of the Agreement

Under this grant agreement, UNCC will improve its damage prevention efforts through implementation of the following four objectives and accompanying initiatives:

- 1) Foster support and partnership with stakeholders (supports Element-2)
 - 1a) Support the DPAT Fall 2-day damage prevention stakeholder coordination event
- 2) Support Damage Prevention Education Program for industry stakeholders (supports Element-4)
 - 2a) Implement the infrastructure for the web-based Learning Management System (LMS)
- 3) Support Public Awareness (supports Element-5)
 - 3a) Support Damage Prevention Awareness Month in April and 811 Day in August
- 4) Support Enforcement (supports Element-7)
 - 4a) Fund consultants to research issues and write report to support implementation of OneCall enforcement in Colorado and write report to Call Center, the PUC and industry stakeholders.

Workscope

[Cut and paste from Article III. Workscope of your agreement.]

Article III. Workscope

Under the terms of this grant agreement, the Grantee will address the following elements listed in 49 USC §60134 through the actions it has specified in its Application.

- Element 2 (Comprehensive Stakeholder Support): A process for fostering and ensuring the support and partnership of stakeholders, including excavators, operators, locators, designers, and local government in all phases of the program.
- Element 4 (Effective Employee Training): Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the One Call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators.
- Element 5 (Public Education): A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities.
- Element 7 (ENFORCEMENT): Enforcement of State damage prevention laws and regulations for all aspects of the damage prevention process, including public education, and the use of civil penalties for violations assessable by the appropriate State authority.

Note: Each element in the Specific Objectives aligns with a respective element in the Workscope. Further reference to accomplishments and future plans will reference only the Specific Objectives.

Accomplishments for this period (Item 1 under Article IX, Section 9.01 Progress Report: “A comparison of actual accomplishments to the objectives established for the period.”)
[How are you progressing on each of the items/elements provided in the “Specific Objectives” and “Workscope”? Start with an overall description followed by item-by-item or element-by-element detail if possible.]

Progress Overview

Although the 2015 grant agreement was signed and approved on September 17, 2015, CO811 did not receive the first half of the 2015 grant funding until January 21, 2015. As such, we have only been working on the Grant for about 12 weeks (to January 01 to March 31, 2016).

As a brief status, CO811 is currently completing the Task 4 (Support Enforcement Efforts). The consultants are wrapping up their Stakeholder Forums and Surveys and writing the final task force Study Report for submission to CO811, Colo PUC and PHMSA.

Task 4 - (Element 7) – Started and partially complete

4a) Fund consultants to research issues and write report to support implementation of OneCall enforcement in Colorado and write report to Call Center, the PUC and industry stakeholders. Statewide public awareness media campaign sponsored by CO811 and DPAT for the summer dig season.

\$ 25,048.00 expended to Quality Engineering for various consulting work including the multi-state OneCall Law analysis, as well as stakeholder surveys, interviews and forums

Note: One invoice for this item is attached as PDF.

Task 1 - (Element 2) – Not Started – will be funded in 2nd half of Grant

Task 2 - (Element 4) – Not Started – will be funded in 2nd half of Grant

Task 3 - (Element 5) – Not Started – will be funded in 2nd half of Grant

Further detail follows in the next section.

Quantifiable Metrics/Measures of Effectiveness (Item 2 under Article IX, Section 9.01 Project Report: “Where the output of the project can be quantified, a computation of the cost per unit of output.”)

[This is difficult to explain across the board, but we’re trying to get a gauge for how effective this grant work is in improving your program. If your grant is more data oriented, you likely had some sort of metrics in mind to improve upon. If so, what were those metrics and how is the data looking now compared to when the program started? If you’re doing something along the lines of enforcement that involves incident review, how many cases have you been able to review/close and/or fines collected compared to before the grant work? If you pitched something more along the lines of public awareness, to how many stakeholders have you been able to reach? Even if you don’t have the metrics fully defined, put whatever you can here.]

There are no quantifiable metrics to report at this time.

CO811 formed a OneCall Law Legislative Task Force (LTF) comprised of interested industry stakeholder to conduct a number of stakeholder meetings from March through May 2015. The purpose of the meetings was to discuss the upcoming PHMSA regulatory actions to take effect in 2016, ascertain how these actions might impact stakeholders in Colorado, and determined the actions stakeholders and the state might state to improve enforcement of the OneCall Law in Colorado.

In June 2015, the LTF hired Foresight Advantage to draft an RFP, conduct a search for qualified consultants, and review the submitted proposals along with the LTF. Quality Engineering was selected and engaged by the LTF to start the study and data gathering process on November 6, 2015. CO811 paid for all consulting work by Foresight Advantage and Quality Engineering from June 2015 to December 2015 (\$129,435.50) as defined in the table below.

Quality Engineering was selected through a competitive RFP and bid process to conduct:

- 1) a limited assessment of the Colorado One Call Law in comparison to other states, and to conduct,
- 2) a series of stakeholder in-person interviews, remote surveys and live forums around the state to discuss and gather relevant facts and thoughts about the OneCall Law, stakeholder compliance issues, and concerns with enforcement of the Law and stricter gas industry regulatory oversight.

Foresight Advantage was hired to organize and facilitate the RFP meetings and study work, coordinate the stakeholder meetings, and to educate and oversee the contractors during the data gathering and reporting process.

Colo One Call Legislative Task Force - RFP draft, review and selection process				
Colo One Call Legislative Task Force - One Call Law Multi-State Study and Stakeholder Forums				
Company	Month	Invoice	Work	
Foresight Advantage	Jun-15	\$ 3,625.00	draft RFP for OneCall Legislative Study	
Foresight Advantage	Jul-15	\$ 4,218.75	draft RFP for OneCall Legislative Study	\$ 7,843.75
Foresight Advantage	Aug-15	\$ 1,875.00	draft RFP for OneCall Legislative Study	
Foresight Advantage	Sep-15	\$ 2,812.50	Vendor Review and Selection Phase	
Foresight Advantage	Oct-15	\$ 4,593.75	Vendor Review and Selection Phase	\$ 9,281.25
Foresight Advantage	Nov-15	\$ 5,062.50	Oversee Multi-State OneCall Law Study	
Foresight Advantage	Dec-15	\$ 2,875.00	Oversee Multi-State OneCall Law Study	\$ 7,937.50
Foresight Advantage	Jan-16	\$ 8,125.00	Oversee Stakeholder Forums	
Foresight Advantage	Feb-16	\$ 8,580.00	Oversee Stakeholder Forums	\$ 16,705.00
Quality Engineering	Nov-15	\$ 37,572.00	Conduct OneCall Law State Study	
Quality Engineering	Dec-15	\$ 25,048.00	Conduct OneCall Law State Study	
Quality Engineering	Dec-15	\$ 25,048.00	Conduct OneCall Law State Study	\$ 87,668.00
TOTAL		\$ 129,435.50		\$ 129,435.50
NOTE: Funds paid by CO811 for work involved with Lgislative Study				

The 2015 PHMSA SDP Grant covered the costs for work performed by Quality Engineering from January to March 2016 costing \$25,048 (note: the 4th of 6 payments). Additional work is expected in April and May 2016 from both Foresight Advantage and Quality Engineering to complete the study and write the report with a budgeted cost up to \$24,952.

From November 6, 2015 until March 31, 2016 the following tasks/efforts have been completed:

Number State OneCall Laws Studied	20	
Stakeholder Interviews		35
Stakeholder Phone Surveys		43
General Online Surveys		750
Stakeholder In-Person Forums	6	
Stakeholders attending		116

We cannot share the results of these interviews until the committee has reviewed the report – likely in May, 2016. At that time CO811 will release the results to the CO811 Board, the CO PUC, and will post the study on the CO811 web site. A report will also be sent to PHMSA.

Most of our Quantifiable Metrics come from the analysis of the CGA DIRT damage data. Per the State One Call Law, CO811 allows facility operators to report and update the status of 2015 facility damages through March 31, 2016. The CGA DIRT damage data is possession of CGA and has not been released to the individual states for review. Once the data is released, the review and cleanup process will start and the data will likely be analyzed in June, with a comprehensive State Damage Report and the 64 County Damage Prevention Report Cards published in late July. The Quantifiable Metrics will be provided in the 2015 SDP Grant Final Report in December, 2016.

Issues, Problems or Challenges (Item 3 under Article IX, Section 9.01 Project Report: “The reasons for slippage if established objectives were not met. “)

[If the project is progressing on schedule, simply state that there are no issues, problems or challenge to report. If there have been delays for any reason, explain what they are and how that may impact the grant work. For instance, with some States, even after an agreement is in place, it has to be sent back to the Governor’s office for approval, which takes more time than originally anticipated. Even if work begins right away after the agreement is in place, other delays can be caused by personnel changes or simply having a better understanding of the effort required once the work is underway.]

There are no issues, problems or challenges to report at this time.

Mid-term Financial Status Report

[Per the instructions in Article IX, Section 9.03 of your agreement (included below), the financial status report should go to the Agreement Administrator (AA). For this section of the progress report, simply state “The mid-term financial report has been sent as a separate attachment to the AA.”. However, if there are any issues with the Financial Status Report or additional explanation is needed, please provide that information here. If there are any delays for whatever reasons, these should be communicated to the AA and AOTR in advance.

From Article IX, Section 9.03 of your agreement: “During the performance of the grant, the Grantee must submit a mid-term Financial Status Report, Standard Form 425 (SF-425), to report the status of funds. In addition to SF-425, the Grantee should provide the break down of costs for each object class category (Personnel, Fringe Benefits, Travel, Equipment, Supplies, Contractual, Other, and Indirect Charges). This report must be submitted to the AA in electronic form via e-mail no later than [refer to your agreement for date.]”]

The Mid-term Financial Report has been sent as a separate attachment to the AA.

The following table defines the funding approved and expenses from January 1, 2016 through March 31, 2016 for each objective under the grant agreement.

PHMSH 2015 SDP Grant Categories - Colorado 811

Object Class Categories:	Funded Amount	Expended to March 31, 2016	Expended to September 29, 2016	Remaining to Spend	Description	Supports
a. Personnel	\$0					
b. Fringe Benefits	\$0					
c. Travel	\$0					
d. Equipment	\$0					
e. Supplies	\$0					
f. Contractual						
Foresight Advantage, Quality Engineering	\$50,000	-\$25,048	\$0	\$24,952	Research issues related to OneCall enforcement and write a report that supports implementation of a State Enforcement Authority	Element-7
Foresight Advantage	\$25,000	\$0	\$0	\$25,000	Design and develop the backend infrastructure modules for the Learning Management System	Element-4
g. Construction	\$0					
h. Other	\$20,000	\$0	\$0	\$20,000	Purchase 811 public awareness media at Lcal and State level	Element-5
	\$5,000	\$0	\$0	\$5,000	Support Damage Prevention Action Team Fall Meeting, stakeholder meeting rooms, handouts, speaker fees (September 2016)	Element-2
i. Total Direct Charges (sum of a-h)	\$100,000	-\$25,048	\$0	\$74,952		
j. Indirect Charges	\$0	\$0	\$0			
k. TOTALS (sum of i and j)	\$100,000	-\$25,048	\$0	\$74,952		

Plans for Next Period (Remainder of Grant)

[In most cases, this section should just mention your plans for the remainder of the project. However, if you need to change the workscope at all for any reason, including whether you need to modify, remove, or add items, please explain.]

Objectives 1, 2, and 3 will be started and completed from June 2016 through September 2016.

Objective 1) Foster support and partnership with stakeholders

DPAT Support

Fall DPAT meeting – September, 2016

Review DPC and statewide public awareness programs

Review Statewide Damage Report and County DP Report Cards

Discuss and plan statewide and local DP activities for 2016

Objective 2) Implement the damage prevention stakeholder education program

Support DP Stakeholder Education Platform

Complete development of on-line Situational Learning course

Implement infrastructure for web-based Learning Management System for content delivery

Objective 3) Support public awareness

Statewide 811 public awareness support

Support for fall and winter statewide 811 public awareness programs

Fund statewide media campaign August 811 Day, Colorado Broadcasters Association

Fund local media campaigns

Objective 4 will be completed from April 2016 through June 2016.

Objective 4) Support enforcement

Conduct Colorado One Call Legislative Study

Complete surveys and data gathering

Complete final Study Report and obtain Task Force approval

Publish Study Report to CO811 Board, CO PUC, PHMSA, stakeholders via CO811 web site

Requests of the AOTR and/or PHMSA

[In most cases, any questions or actions requested of the AOTR and PHMSA (such as grant modifications in anyway) should have been addressed in advance of filing the report. If this is the case, simply state “No actions requested at this time” or explain any actions that are currently in process. However, if something has come up recently, or if you haven’t been able to discuss with the AOTR yet, please describe here.]

No action requested at this time.