

# FEDERAL FINANCIAL REPORT

(Follow form instructions)

<b>1. Federal Agency and Organizational Element to Which Report is Submitted</b> US DOT Pipeline and Hazardous Materials Safety Administration	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> (To report multiple grants, use FFR Attachment) DTH-156-12-G-PHPS22	Page <b>1</b> of <b>1</b> pages
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**3. Recipient Organization (Name and complete address including Zip code)**  
 North Carolina Utilities Commission (NCUC) 4325 Mail Service Center, Raleigh, NC 27699

<b>4a. DUNS Number</b> 780414723	<b>4b. EIN</b> 56-1611947	<b>5. Recipient Account Number or Identifying Number</b> (To report multiple grants, use FFR Attachment)	<b>6. Report Type</b> <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	<b>7. Basis of Accounting</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
<b>8. Project/Grant Period</b> From: (Month, Day, Year) August 29 2012		<b>To: (Month, Day, Year)</b> August 29 2013		<b>9. Reporting Period End Date</b> (Month, Day, Year) February 13 2013

**10. Transactions**  
 (Use lines a-c for single or multiple grant reporting)

<b>Federal Cash (To report multiple grants, also use FFR Attachment):</b>		Cumulative
<b>a. Cash Receipts</b>	6831	
<b>b. Cash Disbursements</b>	0	
<b>c. Cash on Hand (line a minus b)</b>	6831	

(Use lines d-o for single grant reporting)

<b>Federal Expenditures and Unobligated Balance:</b>	
<b>d. Total Federal funds authorized</b>	6831
<b>e. Federal share of expenditures</b>	0
<b>f. Federal share of unliquidated obligations</b>	0
<b>g. Total Federal share (sum of lines e and f)</b>	0
<b>h. Unobligated balance of Federal funds (line d minus g)</b>	6831

<b>Recipient Share:</b>	
<b>i. Total recipient share required</b>	0
<b>j. Recipient share of expenditures</b>	0
<b>k. Remaining recipient share to be provided (line i minus j)</b>	0

<b>Program Income:</b>	
<b>l. Total Federal program income earned</b>	
6831	
<b>m. Program income expended in accordance with the deduction alternative</b>	
0	
<b>n. Program income expended in accordance with the addition alternative</b>	
0	
<b>o. Unexpended program income (line l minus line m or line n)</b>	
6831	

<b>11. Indirect Expense</b>							
<b>a. Type</b>	<b>b. Rate</b>	<b>c. Period From</b>	<b>Period To</b>	<b>d. Base</b>	<b>e. Amount Charged</b>	<b>f. Federal Share</b>	
<b>g. Totals:</b>					0	0	

**12. Remarks:** Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:  
 The money received is allocated for a single magazine release that will occur in June, 2013.

**13. Certification:** By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

<b>a. Typed or Printed Name and Title of Authorized Certifying Official</b> Chris Isley Director Pipeline Safety Section North Carolina Utilities Commission	<b>c. Telephone (Area code, number and extension)</b> 419-733-8818
<b>b. Signature of Authorized Certifying Official</b> Chris Isley	<b>d. Email address</b> isley@ncuc.net
<b>e. Date Report Submitted (Month, Day, Year)</b> 2/26/13	

<b>14. Agency use only:</b>	
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Standard Form 425  
 OMB Approval Number: 0348-0061  
 Expiration Date: 10/31/2011

**Paperwork Burden Statement**  
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0060), Washington, DC 20503.

**2012 State Damage Prevention Program Grants Progress Report**  
**CFDA Number: 20.720**

**Award Number:** *DTPH56-12-G-PHPS22*

**Project Title:** *North Carolina Utilities Commission State Prevention Grant*

**Date Submitted:** *February 15, 2013*

**Submitted by:** *North Carolina Utilities Commission (NCCUC)*

**Specific Objective(s) of the Agreement**

*Under this grant agreement, NCCUC will:*

- *Develop and implement methods for effective communication*
- *Support a Damage Prevention Education Program for industry stakeholders*

**Workscope**

*Under the terms of this grant agreement, the Grantee will address the following elements listed in 49 USC §60134 through the actions it has specified in its Application.*

- *Element (1):* Participation by operators, and other stakeholders in the development and implementation of methods for establishing and maintaining effective communications between stakeholders from receipt of an excavation notification until successful completion of the excavation, as appropriate.
- *Element (4):* Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators.

**Accomplishments for this period (Item 1 under Article IX, Section 9.01 Progress Report: “A comparison of actual accomplishments to the objectives established for the period.”)**

*Our intent is to reach out to stakeholders using the NC 811 magazine. This will contain information regarding receiving training through the stakeholder created PIPES Plus program, specific communication about pipeline awareness and safety and general information regarding a new law to be implemented in the State of NC. We do not intend to produce and release the magazine until June 2013. Therefore nothing to report at this time.*

**Quantifiable Metrics/Measures of Effectiveness (Item 2 under Article IX, Section 9.01 Project Report: “Where the output of the project can be quantified, a computation of the cost per unit of output.”)**

*We expect to reach roughly 6,500 stakeholders, State and Municipal officials and excavators with this magazine. Our intent is to provide guidance as to the responsibilities contained in the new law, provided it passes before publication. This outreach will cost roughly \$1.50 per issue of which roughly \$.98 will be covered through this grant.*

**Issues, Problems or Challenges (Item 3 under Article IX, Section 9.01 Project Report: “The reasons for slippage if established objectives were not met.”)**

*No issues. Project continuing on schedule.*

**Mid-term Financial Status Report**

*Mid—term financial under separate cover. No expenditures to report.*

**Plans for Next Period (Remainder of Grant)**

*The plan is to pay for the printing and distribution of the magazine in May for a June release of the magazine.*

**Requests of the AOTR and/or PHMSA**

*No action requested at this time.*

