



U.S. Department
of Transportation
**Pipeline and Hazardous
Materials Safety
Administration**

1200 New Jersey Avenue SE
Washington DC 20590

PIPELINE SAFETY

2011 State Damage Prevention Grant

for

NORTH CAROLINA UTILITIES COMMISSION

Please follow the directions listed below:

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign page 2.
3. Fasten all pages with a paper or binder clip - no staples please as this package will be scanned upon it's arrival at PHMSA.
4. Mail the entire document, including this cover page to the following:

**ATTN: Maria Munoz
U.S. Department of Transportation
Pipeline & Hazardous Materials Safety Administration
Office of Contracts and Procurement (PHA-30)
1200 New Jersey Avenue, SE Second Floor E22-305
Washington, D.C. 20590**

FedSTAR Information

Submission Date: 8/27/2010 10:55:01 AM



Pipeline and Hazardous Materials Safety Administration
1200 New Jersey Avenue, SE
Washington DC 20590

DEPARTMENT OF TRANSPORTATION

APPLICATION

2011 GRANT PROGRAM IN SUPPORT OF STATE DAMAGE PREVENTION

The NORTH CAROLINA UTILITIES COMMISSION hereby applies to the Department of Transportation for Federal funds appropriated for the support of State Damage Prevention Programs established under 49 U.S.C. Section 60134 et seq.

The State agency plans to carry out the State Damage Prevention Program, during calendar year 2011, as described in Attachment 1, "Project Abstract/Statement of Objectives". To accomplish the program, the state agency proposes to expend funds as set forth in Attachment 4, "State Damage Prevention Estimated Budget".

Signature

Title

Date

Project Abstract/Statement of Objectives

Please provide a clear and concise description of the work this grant will fund for calendar year 2011

The programs for the 2011 State Damage Prevention Grant submitted are for the North Carolina 811, Inc. to conduct locator training, to continue to publish a Safety and Damage Prevention Magazine, NC 811 and to hold Public Awareness Damage Prevention Meetings. These meetings will continue to be designed by NC 811 to promote usage of 811 Call Before You Dig, to promote the current State statute and to help promote possible future amending of the State statute. The meetings will be designed to promote understanding, cooperation and coordination between all stakeholder groups. Successful implementation of these programs will reach thousands of industry-related individuals in the State of North Carolina. The locator training programs will be used to promote quality locating techniques which will lead to fewer damages.

State Damage Prevention Elements

ELEMENT 1 - EFFECTIVE COMMUNICATIONS

"Participation by operators, excavators, and other stakeholders in the development and implementation of methods for establishing and maintaining effective communications between stakeholders from receipt of an excavation notification until successful completion of the excavation, as appropriate."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

Currently there is no organized communication effort between stakeholders concerning excavation notices. The proposed "North Carolina 811" magazine would be very helpful in promoting safe digging and damage prevention activities North Carolina.

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

North Carolina 811, Inc. will produce a new magazine in 2010 for the purpose of advancing damage prevention and worker safety in the State of North Carolina. Instead of a small quarterly newsletter, a full scale magazine (approximately 20 pages) will be produced called "North Carolina 811." Quarterly magazines will be produced offering various topics on safety and damage prevention and other industry related articles.

The purpose of magazine will be to enhance compliance with the State statute for safe digging and damage prevention, but also bring awareness for the purpose of enhancing and amending the current State statute and the promotion of the 811 Call Before You Dig number. Further, North Carolina 811, Inc. feels that the magazine will drive an increase in the membership in North Carolina 811, Inc. and set the stage for complete membership through enhancement of the current statute.

Interesting articles will be gathered from various stakeholder groups such as excavators, pipeline companies, State agencies (including articles from the North Carolina Utilities Commission's Office of Pipeline Safety), Insurance Regulators, Department of Labor, Law Enforcement, First Responders, Law Firms, and North Carolina 811, Inc.

Mailings of the magazine will include, but is not limited to, each State legislator (House of Representatives and Senate), all Mayors and municipal councils, all county commissioners, all municipal public works departments, all trade associations, and members such as AGC, NUCA, landscapers, irrigation firms, plumbing firms, professional excavation firms, locating firms, utilities, Utility Coordinating Committee members, CGA Regional Partnership members, State agencies (Labor, DOT, licensing bureaus, and the Attorney General), the Governor's Office, and the top 500 callers to North Carolina 811, Inc.

Target mailings will be in excess of 15,000 per distributed issue, with plans to grow readership with each issue. North Carolina 811, Inc. plans to have four issues per year. North Carolina 811, Inc.'s proportionate share of the cost for producing and distribution of

the magazine in 2010 is \$20,000.00. North Carolina 811, Inc.'s cost breakdown will be approximately \$5,000.00 per quarterly issue. The first issue is scheduled for distribution in October 2010. This publication would be continued in 2011.

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$20,000.00
g. Construction:	\$0.00
h. Other:	\$0.00
i. Total Direct Charges (sum of a through h):	\$20,000.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$20,000.00

Budget Narrative for this element: (Required only if proposal addresses this element)

The cost of the production of the "North Carolina 811" Magazine will all be contractual. This includes printing, labeling, and mailing, requiring approximately \$5,000.00 per quarterly issue for a total of \$20,000.00 in 2011.

ELEMENT 2 - COMPREHENSIVE STAKEHOLDER SUPPORT

"A process for fostering and ensuring the support and partnership of stakeholders, including excavators, operators, locators, designers, and local government in all phases of the program."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

At the present time, there is no coordinated effort in North Carolina for a comprehensive stakeholder support. The proposed 16 Public Awareness Damage Prevention Meetings would help foster partnerships with all the stakeholders in damage prevention in the State of North Carolina.

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

Carrying the damage prevention message throughout the State of North Carolina is a major effort for North Carolina 811, Inc. In order to facilitate and partner with various stakeholder groups in North Carolina, North Carolina 811, Inc. will expand on the 2010 enhanced program and partnership in 2011.

North Carolina 811, Inc. will conduct 16 Public Awareness Damage Prevention Meetings in 2011. The North Carolina 811, Inc. 2010 program was very successful and was expanded to 14 events in 2010. In 2011, these meetings will be held in conjunction with the Public Awareness Programs of the natural gas and hazardous liquids pipelines and natural gas local distribution companies. Participating companies will be Colonial Pipeline, Williams Pipeline, Plantation Pipeline, Dixie Pipeline, Piedmont Natural Gas Company, and PSNC Energy.

North Carolina 811, Inc. will arrange all meetings, prepare and distribute all invitations, prepare all material and handouts, arrange meeting sites, and prepare and execute the presentations in accordance with Pipeline Safety Regulations. North Carolina 811, Inc. will also keep all pertinent records required by and for the pipeline companies. Meals for the attendees will also be arranged by North Carolina 811, Inc.

These meetings will take place in the specified locations where the participating companies are located. These meetings are geared for specific stakeholder groups.

One group is the emergency responders which include, but not limited to, firefighters, fire marshals, EMS/EMT, police, sheriff departments, State Troopers, mayors, city councils, State agencies, and elected public officials.

The other stakeholder groups include municipal public work groups, utilities, locating companies, contractors, professional excavators, trade associations, and the top 200 callers of locate requests to North Carolina 811, Inc.

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$6,912.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$5,120.00
d. Equipment:	\$1,600.00
e. Supplies:	\$18,368.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$16,000.00
i. Total Direct Charges (sum of a through h):	\$48,000.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$48,000.00

Budget Narrative for this element: (Required only if proposal addresses this element)

Personnel include North Carolina 811, Inc. employees who will set up all events, prepare and distribute mailings, attend events and conduct the presentations. It will take approximately 24 hours per event at \$18.00 per hour. Travel includes mileage and hotel costs for 16 events at \$320.00 per event. Equipment will be for necessary at the site locations to conduct the events (tables, screens, projectors) at \$100.00 per event. Supplies include, but will not be limited to, the cost of handouts, giveaways, invitations, and mailings based on \$1,148.00 per event with an estimated attendance of 100 attendees per event. The "other" category is based on a cost for meals at \$10.00 per attendee with a goal of 100 attendees per 16 events for a total cost of \$16,000.00. Bundled together the cost for 16 events will be \$48,000.00.

ELEMENT 3 - OPERATOR INTERNAL PERFORMANCE MEASUREMENT

"A process for reviewing the adequacy of a pipeline operator's internal performance measures regarding persons performing locating services and quality assurance programs."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)



ELEMENT 4 - EFFECTIVE EMPLOYEE TRAINING

"Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

In 2010, North Carolina 811, Inc., in partnership with Pipeline Safety Section of the North Carolina Utilities Commission, sponsored one Locator training session. The training was well-attended by Locators from various utilities statewide and was very successful. If approved, we would like to expand and hold six Locator training sessions in 2011.

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

Damages to the North Carolina utility underground infrastructure occur daily. The loss of utility services is important, costly and, in some aspects, critical. Of particular concern is the potential for injury and loss of life to workers and the public. There is a need for locating technicians to be trained.

North Carolina 811, Inc. will conduct six sessions of Locator damage prevention and certification training in 2011. The training sessions will be offered to and open to all stakeholder groups in North Carolina who locate underground infrastructure facilities or have locator technicians in their work force. The training benefits all stakeholder groups since accurate location of underground facilities reduces damages. Reduced damages in turn reduce down time for excavators and reduce costs for utility owners and excavators.

The training addresses the art and science of the difficult task of underground line locating. Further, the attendees learn skills such as understanding as-built drawings and map reading that are vital to successful locating. Safe practices are taught along with the compliance to the State law. They are taught "hands on" skills and trained on the latest electronic equipment and techniques.

The three sessions will be planned in three different locations throughout the State in order to minimize travel for the attendees and will be an eight-hour class.

Each class will cost \$3,400.00 for up to 20 attendees. North Carolina 811, Inc. proposes to run the six classes in 2011 for a total cost of \$20,400.00.

The costs include tuition, material, handouts, training site, lunch, and certificate.

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$6,300.00
f. Contractual:	\$12,900.00
g. Construction:	\$0.00
h. Other:	\$1,200.00
i. Total Direct Charges (sum of a through h):	\$20,400.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$20,400.00

Budget Narrative for this element: (Required only if proposal addresses this element)

Each training section will be \$2,150.00, contracted to a qualified training firm for a total cost of \$12,900.00. Supplies for the training session include rental space, handouts and giveaways for 20 students per training session at \$52.50 per student. \$1,200.00.00 in the "other" category will be for lunches based at \$10.00 per student for 120 students. The entire program for 2011 will be \$20,400.00.

ELEMENT 5 - PUBLIC EDUCATION

"A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)



ELEMENT 6 - DISPUTE RESOLUTION

"A process for resolving disputes that defines the State authority's role as a partner and facilitator to resolve issues."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)



ELEMENT 7 - ENFORCEMENT

"Enforcement of State damage prevention laws and regulations for all aspects of the damage prevention process, including public education, and the use of civil penalties for violations assessable by the appropriate State authority."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

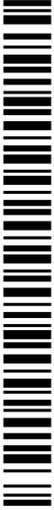


ELEMENT 8 - TECHNOLOGY

"A process for fostering and promoting the use, by all appropriate stakeholders, of improving technologies that may enhance communications, underground pipeline locating capability, and gathering and analyzing information about the accuracy and effectiveness of locating programs."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)



ELEMENT 9 - DAMAGE PREVENTION PROGRAM REVIEW

"A process for review and analysis of the effectiveness of each program element, including a means for implementing improvements identified by such program reviews."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)



Legislative/Regulatory Actions

Provide a description of any legislature or regulatory actions (including legislative/regulatory studies) taken by the State within the past five (5) years pertaining to damage prevention program improvement, even if those actions were not completely successful.

During the past five years, the North Carolina Pipeline safety Section has participated in discussions with various stakeholder groups in North Carolina about drafting new legislation concerning our State's underground damage prevention law. To date, these discussions, which involve a comprehensive overhaul of the underground damage prevention law, have not been able to move ahead.

The Pipeline Safety Section has worked closely with NC 811 in educating stakeholders and the public about the current underground damage prevention law.

This year the Governor of North Carolina Beverly E. Perdue signed a proclamation declaring April 2010 "Safe Digging Month" in North Carolina. This is an effort to reduce dig in damages by promoting call 811 before you dig in North Carolina.

State Damage Prevention Estimated Budget - Calendar Year 2011

DIRECT COSTS

Personnel	\$6,912.00
Fringe Benefits	
Travel	\$5,120.00
Equipment	\$1,600.00
Supplies	\$24,668.00
Contractual	\$32,900.00
Construction	
Other	\$17,200.00
Total Direct	\$88,400.00

INDIRECT COSTS

Indirect Charges

TOTAL ESTIMATED COSTS

\$88,400.00

State Damage Prevention Application Attachments

NCGovernorsLetter.pdf





State of North Carolina
Utilities Commission

4325 Mail Service Center
Raleigh, NC 27699-4325

COMMISSIONERS
EDWARD S. FINLEY, JR., CHAIRMAN
LORINZO L. JOYNER
WILLIAM T. CULPEPPER, III

August 16, 2010

COMMISSIONERS
BRYAN E. BEATTY
SUSAN W. RABON
TONOLA D. BROWN-BLAND
LUCY T. ALLEN

The Honorable Beverly E. Perdue
Office of the Governor
116 West Jones Street, Suite 202
Raleigh, North Carolina 27603-8001

Dear Governor Perdue:

The purpose of this letter is to request your assistance in applying for a federal grant to enhance underground damage prevention in North Carolina.

Section 2 of the federal Pipeline Inspection, Protection, Enforcement, and Safety Act of 2006 added a new State Damage Prevention Program (SDPP) to the federal Pipeline Safety Law as 49 U.S.C. §60134. In cooperation with North Carolina 811, Inc. (NC811), which was formerly known as North Carolina One Call Center, Inc., the North Carolina Utilities Commission (NCUC) would like to apply for a §60134 SDPP grant. The amount of the grant is limited to \$100,000, and it would be used to assist NC811 in meeting the requirements of three "Damage Prevention Program Elements" listed in subsection 60134(b) as described below.

The NC811 is a North Carolina non-profit corporation. It is the association sponsored by utility owners to receive and transmit to its member's notifications of planned excavations, as contemplated by the North Carolina Underground Damage Prevention Act, N.C.G.S. Chapter 87, Article 8. Please note that the definition of "utility owners" pursuant to G.S. 87-101(12) includes entities that are not regulated by the NCUC pursuant to G.S. 62-3(23)(a). The NCUC received a federal grant last year to assist the NC811 under this new Program.

In order to be eligible for a §60134 grant, a state authority must have in effect an annual certification under 49 U.S.C. §60105, and must either have a damage prevention program that meets the requirements of the "Damage Prevention Program Elements" listed in subsection 60134(b), or must demonstrate substantial progress toward a program that will meet the requirements of this subsection. The Pipeline Safety Section of the NCUC enforces federal government safety regulations pursuant to N.C.G.S. 62-50(b) and has in effect an annual certification under §60105.

The Honorable Beverly E. Perdue
August 16, 2010
page 2

Subsection 60134(b) lists numerous "Damage Prevention Program Elements." One of these Elements is Element 1 – Effective Communications: "Participation by operators, excavators, and other stakeholders in the development and implementation of methods for establishing and maintaining effective communications between stakeholders from receipt of an excavation notification until successful completion of the excavation, as appropriate." This would be accomplished by the NC811 using grant funds to continue a quarterly publication entitled "North Carolina 811," which is sent to contractors, utility companies, and municipalities; to the Department of Transportation; and to public officials throughout the State.

Another Element is Element 4 – Effective Employee Training: "Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators." This would be accomplished by the NC811 conducting seminars for locators statewide.

And the final Element for which grant funds are sought is Element 5 – Public Education: "A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities." This would be accomplished by the NC811 conducting safety seminars statewide.

The §60134 grant application also requires that the Governor designate a State agency as the eligible applicant for the grant. The application states, "Any State authority that is or will be responsible for preventing damage to underground pipeline facilities designated by the Governor is eligible as long as the State participates in the oversight of pipeline transportation pursuant to an annual 49 U.S.C. §60105 certification." Under North Carolina's Underground Damage Prevention Act, no State agency currently has "responsibility" for preventing damage to underground pipelines. Such responsibility is assigned to utility owners and excavators, not to a government agency. Still, the NCUUC has authority for safety standards as to the natural gas pipeline facilities of municipal and privately-owned pipeline companies pursuant to N.C.G.S. 62-50, and the NCUUC has the required annual certification under §60105. The NCUUC has received federal grants in the past to assist the NC811, and the NCUUC therefore believes that it is the appropriate agency to apply for a §60134 SDPP grant.

This grant application will be reviewed by the Pipeline and Hazardous Materials Safety Administration (PHMSA) of the U.S. Department of Transportation. PHMSA will determine whether North Carolina's Underground Damage Prevention Act meets the requirements of §60134(b) and whether the proposed use of grant funds by NC811 for the aforementioned "Damage Prevention Program Elements" is appropriate.

The Honorable Beverly E. Perdue
August 16, 2010
page 3

Because PHMSA requires the Governor to designate the State agency to apply for the grant, I ask that you sign and return the statement below.

Sincerely,


Edward S. Finley, Jr.

I designate the North Carolina Utilities Commission to be the appropriate North Carolina agency to receive the State Damage Prevention Program Grant.

Signed 
Governor Beverly E. Perdue

Date: 8-23-10

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify) <input type="text"/>
--	--	---

* 3. Date Received: <input type="text" value="09/08/2010"/>	4. Applicant Identifier: <input type="text"/>
--	--

5a. Federal Entity Identifier: <input type="text"/>	* 5b. Federal Award Identifier: <input type="text"/>
--	---

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

8. APPLICANT INFORMATION:

* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="56-1611847"/>	* c. Organizational DUNS: <input type="text" value="780414723"/>
--	---

d. Address:

* Street1:	<input type="text" value="4325 Mail Service Center"/>
Street2:	<input type="text"/>
* City:	<input type="text" value="Raleigh"/>
County:	<input type="text"/>
* State:	<input type="text" value="NC: North Carolina"/>
Province:	<input type="text"/>
* Country:	<input type="text" value="USA: UNITED STATES"/>
* Zip / Postal Code:	<input type="text" value="27699-0000"/>

e. Organizational Unit:

Department Name: <input type="text"/>	Division Name: <input type="text"/>
--	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: <input type="text" value="Mr ."/>	* First Name: <input type="text" value="Christopher"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Isley"/>	
Suffix: <input type="text"/>	

Title:

Organizational Affiliation:

* Telephone Number: <input type="text" value="919-733-8818"/>	Fax Number: <input type="text"/>
---	----------------------------------

* Email:

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

Pipeline & Hazardous Material Safety Administration

11. Catalog of Federal Domestic Assistance Number:

20.720

CFDA Title:

State Damage Prevention Program Grants

*** 12. Funding Opportunity Number:**

DTPH56-11-SN-0001

* Title:

State Damage Prevention Grants

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

*** 15. Descriptive Title of Applicant's Project:**

NORTH CAROLINA UTILITIES COMMISSION State Damage Prevention

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="88,400.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="88,400.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 4040-0006
Expiration Date 07/30/2010

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. State Damage Prevention Program		\$	\$	88,400.00	\$	88,400.00
2.						
3.						
4.						
5. Totals		\$	\$	88,400.00	\$	88,400.00

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	SDP				
a. Personnel	\$ 6,912.00	\$	\$	\$	\$ 6,912.00
b. Fringe Benefits	0.00				
c. Travel	5,120.00				5,120.00
d. Equipment	1,600.00				1,600.00
e. Supplies	24,668.00				24,668.00
f. Contractual	32,900.00				32,900.00
g. Construction	0.00				
h. Other	17,200.00				17,200.00
i. Total Direct Charges (sum of 6a-6h)	88,400.00				\$ 88,400.00
j. Indirect Charges	0.00				\$
k. TOTALS (sum of 6i and 6j)	\$ 88,400.00	\$	\$	\$	\$ 88,400.00
7. Program Income	\$	\$	\$	\$	\$

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Prescribed by OMB (Circular A -102) Page 1A

SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS
8. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
9. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
10. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
11. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
12. TOTAL (sum of lines 8-11)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text"/>				
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
15. TOTAL (sum of lines 13 and 14)	\$ <input type="text"/>				

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b)First	(c) Second	(d) Third	(e) Fourth
16. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
17. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
18. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
19. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
20. TOTAL (sum of lines 16 - 19)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges: <input type="text"/>	22. Indirect Charges: <input type="text"/>
23. Remarks: <input type="text"/>	

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION	
<input style="width: 100%;" type="text" value="NORTH CAROLINA UTILITIES COMMISSION"/>	
* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
Prefix: <input style="width: 100px;" type="text" value="Mr."/>	* First Name: <input style="width: 200px;" type="text" value="Edward"/> Middle Name: <input style="width: 150px;" type="text"/>
* Last Name: <input style="width: 300px;" type="text" value="Finley"/>	Suffix: <input style="width: 80px;" type="text" value="Jr."/>
* Title: <input style="width: 250px;" type="text" value="Chairman"/>	
* SIGNATURE: <input style="width: 300px;" type="text" value="Chris Isley"/>	* DATE: <input style="width: 150px;" type="text" value="09/08/2010"/>