



U.S. Department
of Transportation
**Pipeline and Hazardous
Materials Safety
Administration**

1200 New Jersey Avenue SE
Washington DC 20590

PIPELINE SAFETY

2011 State Damage Prevention Grant

for

Dig Safely New York

Please follow the directions listed below:

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign page 2.
3. Fasten all pages with a paper or binder clip - no staples please as this package will be scanned upon it's arrival at PHMSA.
4. Mail the entire document, including this cover page to the following:

**ATTN: Maria Munoz
U.S. Department of Transportation
Pipeline & Hazardous Materials Safety Administration
Office of Contracts and Procurement (PHA-30)
1200 New Jersey Avenue, SE Second Floor E22-305
Washington, D.C. 20590**

FedSTAR Information

Submission Date: 9/8/2010 12:04:24 PM



Pipeline and Hazardous Materials Safety Administration
1200 New Jersey Avenue, SE
Washington DC 20590

DEPARTMENT OF TRANSPORTATION

APPLICATION

2011 GRANT PROGRAM IN SUPPORT OF STATE DAMAGE PREVENTION

The Dig Safely New York hereby applies to the Department of Transportation for Federal funds appropriated for the support of State Damage Prevention Programs established under 49 U.S.C. Section 60134 et seq.

The State agency plans to carry out the State Damage Prevention Program, during calendar year 2011, as described in Attachment 1, "Project Abstract/Statement of Objectives". To accomplish the program, the state agency proposes to expend funds as set forth in Attachment 4, "State Damage Prevention Estimated Budget".

Signature

Title

Date

Project Abstract/Statement of Objectives

Please provide a clear and concise description of the work this grant will fund for calendar year 2011

Funding Opportunity DTPH56-11-SN-0001
State Damage Prevention Program
Dig Safely New York

Project Abstract 1

Fabrication of 8.5' x 16' (4'v-nose) x 8' Exhibit Trailer:

Making the underground come alive in an interactive display form is a very difficult task. In contrast, many electric companies have electric live line demonstrations that can really get the attention of an audience at a trade show, fair, or other venue. In this proposal Dig Safely New York is proposing the fabrication of an interactive underground damage prevention mobile exhibit. The 8.5' x 16' (4'v-nose) x 8' pull behind trailer will be fabricated and developed to make the importance of calling before you dig become more convincing. The trailer will be outfitted with a simulated digging area with remote controlled pressurized air release (simulation of gas release). Essentially a volunteer or presenter can demonstrate the digging of a post hole and the result of damaging a plastic gas line. The trailer will also have a plexi-glass floor revealing actual underground facilities. A high level mock up of the trailer is included in the attachments section of this application. Additionally the trailer will have a graphic wrap inside and out. The internal wrap will simulate the front of someone's home complete with gas meter attached to the side wall. Additionally a large LCD TV will be mounted to the back wall to allow for video presented materials. Utilization of the mobile exhibit trailer will allow for a more interactive and hands on experience with underground damage prevention. Elements of this project affect the following elements 1, 2, 5 and 8.

Project Abstract 2

Development of Awareness Software to Complete the Excavation Training Process:

Currently our Irth Awareness Software (2008 Federal grant) acts as a collection and reporting application where training events as well as other activities are logged and recorded providing a historical record of Dig Safely New York's promotion and education for a given period of time. This application can be used collectively with Virtual Private Dirt to evaluate the success and failure of a given program. As a collection and reporting tool Irth Awareness is very effective. However after three years of using it we have identified an area that would streamline the effectiveness of our damage prevention program and enhance our ability to deliver professional and cost-effective training programs.

We are proposing the development of a software module to be added to Irth Awareness that would offer encouragement to all stakeholders to attend a safety program through credit tracking while streamlining the invitation, registration and event sign-in process. The module would effectively accomplish the following:

- ? Create event web registration form to be posted on our website.
- ? Collect web registrations as well as allow for manual input.
- ? Create an attendance list from web entries to be used during the event sign-in process that utilizes electronic signatures much like signing the electronic key pad at the grocery store after making a credit card purchase.
- ? Post company training results on a searchable website for stakeholders to reference when looking for a contractor. Training credits would expire after one year.

? Create training alerts for events to be held in the area of a past attendee as well as notification alerts of when training credit is about to expire.

? System will link people (attendees) to a company.

? Report on events will provide geographic outreach of events

? Potential to provide better ratings for insurance companies

Results have shown that the underground safety training provided by Dig safely New York is effective in reducing damages. Damage rates to gas facilities in NY have been reduced from 6.81 per 1000 locations in 2003 down to 2.46 per 1000 in 2009 (obviously NY stakeholders are working hard). Creating an easy way to manage and track training credits while streamlining the event registration process will only further our ability to facilitate training opportunities. In addition, we anticipate the credit program to be an instrumental factor for utility operating members to reference when selecting a sub-contractor.

The goal of this project is to further the outreach of our training program and enhance stakeholder participation through utilization of offering education credits. Credits can be referenced online by utility members and the enforcement agency. Elements of this project effect the following elements 1, 2, 4, 5, and 8.

Project Abstract 3

Provide printing of new Code Books (Protection of Underground Facilities 16 NYCRR Part 753) should the proposed rule changes pass:

Currently proposed changes to our code include the following:

- 1) Including 911 in the code: From PHMSA Characterization Criteria;
 - a. In the event of a damage that results in the escape of any flammable, toxic, or corrosive gas or liquid or endangers life, health or property, the excavator responsible for the damage immediately notifies 911 and the facility owner/operator. (CGA Best Practices v.6.0, Best Practices 5-25; 49 USC section 60114(d)(3)(B))
- 2) Changing 753-4.7 Uniform Color Code item (f) Purple- Radioactive materials:
 - a. Change the color purple to the CGA best Practice and APWA standard. Purple- Reclaimed Water, Irrigation and Slurry Line
- 3) Utilization of APR where service is available: Implement APR as the positive response system in NY.

As a result of these proposed rule changes, printing of 20,000 code books is requested for distribution across the state. The last amendment to the code was July 10, 2002. If passed the change would require these supporting materials for distribution across the state. Elements of this project affect the following elements 1, 2, 5, 7, 8 and 9.

State Damage Prevention Elements

ELEMENT 1 - EFFECTIVE COMMUNICATIONS

"Participation by operators, excavators, and other stakeholders in the development and implementation of methods for establishing and maintaining effective communications between stakeholders from receipt of an excavation notification until successful completion of the excavation, as appropriate."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

State regulations require membership or participation of operators as: (a) every operator of an underground facility shall participate in a one-call notification system within whose geographical jurisdiction or boundaries such underground facility is located, (753-4.1) State regulations require excavators: 753-3.1(a)(1) Before commencing or engaging in any non-emergency excavation or demolition, each excavator shall provide notice of the location and date of the planned excavation or demolition to the one-call notification system serving the vicinity in which the excavation or demolition is to take place. Additionally every one-call notification system shall: 753-5.2 Establish an effective notification service for receipt of notices from excavators, including a toll-free telephone number, and for transmission of such notices to every member operator who has underground facilities in or within 15 feet of the work area. Within these basic principals set forth by New York regulations 16 NYCRR Part 753 the foundation for the establishment of element one is in place.

Further examination of "Protection of Underground Facilities 16NYCRR Part 753 (www.digsafelynewyork.com/res/coderule.asp) will reveal checks and balances are prevalent through the entire one-call process as each entity involved in the process has responsibilities geared to the protection of the underground infrastructure. Those responsibilities require the maintenance of effective communication prior to commencement of any excavation as well as the period of time during the excavation.

To accommodate these functions, Dig Safely New York through the direction of its Board of Directors (consisting of various stakeholders) further provides resources to enable the sharing of information. Web resources, copies of tickets and field support from call center staff are all available to further support the one call process and further improve communications.

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

Proposed project three (the printing of 20,000 code rule books) will encompass much of element 1 based on the most notable proposed change in the regulation. Within the proposed changes to the code, the addition of Automated Positive Response (APR) as the approved method for establishing and maintaining effective communications between stakeholders from the receipt of an excavation notification until successful completion of the excavation will represent a significant change. The system where available by the one call center, will become the tool utilized to collect and report positive response status.

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$3,384.00
g. Construction:	\$0.00
h. Other:	\$0.00
i. Total Direct Charges (sum of a through h):	\$3,384.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$3,384.00

Budget Narrative for this element: (Required only if proposal addresses this element)

This line item accounts for the printing of 20,000 code books. Amendments to the art and materials will be provided upon request for files from the Department of Public Service so the only costs associated with this project are for the physical costs of printing the amended code rule books. Cover prints two sides on 80# uncoated cover, full color. Text pages print 38 pages, two sides on 50# offset white, black ink only.

Collate together, stitch and fold to a final size of 7' x 8.5'. Quantity: 20,000? \$3,384.00

ELEMENT 2 - COMPREHENSIVE STAKEHOLDER SUPPORT

"A process for fostering and ensuring the support and partnership of stakeholders, including excavators, operators, locators, designers, and local government in all phases of the program."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

Dig Safely New York provides human resources and financial support to eight regional Damage Prevention Councils across the State. Their purpose is to build a strong grassroots presence through the promotion and education of subsurface damage prevention in regional areas of the State. Our damage prevention councils respond to local underground safety issues and establish a network where facility owners, operators, municipalities, excavators, designers, call center staff, state regulators (PSC), insurance companies, rental agencies and anyone else with a stake in damage prevention can share and resolve issues in a non-threatening environment. Our diverse stakeholder membership consists of over 500 members statewide.

Each damage prevention council is organized with a chairperson who then represents his/her region as part of our Promotions and Education Steering Committee and CGA regional partner. At the Promotions and Education Steering Committee level the group contributes to the promotions and educational plan of the One-Call Center. Educational and marketing plans are then filtered back to the state regional levels where each damage prevention council can further our outreach through their contributions to the programs.

ELEMENT 3 - OPERATOR INTERNAL PERFORMANCE MEASUREMENT

"A process for reviewing the adequacy of a pipeline operator's internal performance measures regarding persons performing locating services and quality assurance programs."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

Department of Public Service (DPS) collects annual performance data from the gas local distribution companies (LDC). In the area of damage prevention, it is broken down by total damages, damages caused by mismarks, company & company contractors, excavator error, and no One-Call notice. All of these are normalized by dividing by the number of One-Call tickets received (times 1000). The report is available at: <http://documents.dps.state.ny.us/public/Common/ViewDoc.aspx?DocRefId={C9B42970-AA0A-4FD5-A323-5AAB26011A99}>

Statewide data for mismarks is shown below.

Year	Mismarks/1000 One-Call Tickets
2003	1.14
2004	1.05
2005	1.11
2006	0.89
2007	0.73
2008	0.53
2009	0.54

DPS also enforces the gas pipeline safety regulations, which includes requirements that operators have a damage prevention program and an operator qualification program. DPS audits and inspects the gas LDCs for compliance.

ELEMENT 4 - EFFECTIVE EMPLOYEE TRAINING

"Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

Prior to the initial excavation peak season here in New York, Dig Safely New York in partnership with various facility operators hosts nine regional excavator safety seminars. The seminars feature vendor exhibits, utility demonstrations, industry technology, and presentations regarding underground utility damage prevention, safety equipment and one-call center operations. These events are attended by approximately 2700 stakeholders annually. They provide the essential training components necessary for a safe excavation season. More information on these events can be obtained at: www.digsafelynewyork.com/dsny/exse/agenda.asp

Additionally in Element 2 we highlighted the contributions made by our eight regional damage prevention councils in contributing to the educational efforts of the State. The One-Call field representatives carry out the training objectives of the state through their work as a liaison between the excavator, operator and the one-call center. They also provide excavation safety training in excess of 10,000 excavators annually.

ELEMENT 5 - PUBLIC EDUCATION

"A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

In referring back to element two, each damage prevention council carries out and contributes to the public education goals of the one-call center. Because each damage prevention council is comprised of various stakeholders, their involvement in hosting damage prevention events throughout the year ensures active stakeholder participation. An additional attachment has been included for reference of stakeholder participation. The attachment is a sample event invitation hosted by a regional damage prevention council .

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

Project 1 as outlined in the project abstract will further enhance element 5 in the following ways. The use of the mobile exhibit trailer at various damage prevention events will assist in the facilitation of our damage prevention message. The burden of disseminating information and finding ways to interact with participants at different events will become less stressful for our volunteer stakeholders. The hope is, the interactivity of the display will incite curiosity from the audience making the sharing of important damage prevention information easier and more impactful. Hopefully the curiosity factor will create a want for event attendees to stop and see what is going on. The pressurized air display component with actual digging area should create a reaction that attendees can relate to. Additionally the display is mobile and provides easy distribution across the state for each damage prevention councils various events.

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$1,600.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$43,079.00
g. Construction:	\$0.00
h. Other:	\$0.00
i. Total Direct Charges (sum of a through h):	\$44,679.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$44,679.00

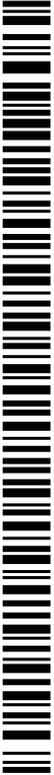
Budget Narrative for this element: (Required only if proposal addresses this element)

The following budget includes funds for the complete fabrication of a custom exhibit trailer from the axels up. Cost associated with the trailer fabrication include dual torsion axles, leveling jacks, folding stairs (drivers side and rear under door), bi-fold presentation panels (2), awning, power package, cord reel, with pigtail, ? plywood walls/ceiling covered in painted vinyl panels, office style track lighting, loading light with switch, sub floor with

lexan xl panels, v-nose storage and access door with center wall. Additionally, costs associated with installation of compressor system complete with quick disconnect and purge valve tied to the simulated digging area. Vendor price estimates for the trailer fabrication are in the attachments section.

Also attached are the costs associated with the contractual work for the graphic wrap from Voss Signs for the inside and outside of the trailer. This quoted cost includes the wrap for inside and outside of the trailer including design, proof, print, install and remove and replacement of all hardware to install the wrap.

Finally, personnel costs of \$1600.00 are proposed for collaboration on the design requirements of the trailer. Because of this unique request, many hours will be spent to ensure the customization of the trailer meets the desired goals of the exhibit.



ELEMENT 6 - DISPUTE RESOLUTION

"A process for resolving disputes that defines the State authority's role as a partner and facilitator to resolve issues."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

Department of Public Service (DPS) responds to complaints and questions about code interpretation and enforcement policies. They also participate on a local level at our damage prevention councils (DPC's). These meetings provide a place for the DPS, one-call center, facility operators, excavators and any other stakeholders involved in a project or dispute to resolve damage prevention issues in a nonthreatening environment. Many pre-planning issues are resolved at this level avoiding major disputes related to lack of communication. Additionally the DPS may refer stakeholders to the One-Call center training program or the DPC in an effort to facilitate change.

ELEMENT 7 - ENFORCEMENT

"Enforcement of State damage prevention laws and regulations for all aspects of the damage prevention process, including public education, and the use of civil penalties for violations assessable by the appropriate State authority."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

Department of Public Service (DPS) does have an enforcement program. Fines up to \$1,000 for first violation and \$7,500 for subsequent violations on the same project within a 2-month period are issued. Education is used when appropriate; if the alleged violator agrees to contact the one-call center for a training session, the penalty is reduced to \$500. A copy of SubPart 6 of Part 753 is available at <http://www.digsafelynewyork.com/res/coderule.asp> Below is data for the last seven years.

Year	Citations Issued	Penalties Collected (\$)
2003	170	106,670
2004	158	103,000
2005	194	106,500
2006	272	104,000
2007	481	153,500
2008	444	155,000
2009	302	147,250

ELEMENT 8 - TECHNOLOGY

"A process for fostering and promoting the use, by all appropriate stakeholders, of improving technologies that may enhance communications, underground pipeline locating capability, and gathering and analyzing information about the accuracy and effectiveness of locating programs."

Does the proposed project address this element? (Required) No

Describe any existing state initiatives that support this element: (Required)

Currently in New York, our damage prevention councils foster and promote the use of improving technologies that may enhance communications to all stakeholders. Through stakeholder participation, these groups have identified new technologies and improved communication methods. Each year, our eight damage prevention councils dedicate a meeting to introduce the council members to some of these products through demonstrations and presentations. Additionally, a subcommittee of Dig Safely New York's Board of Directors called the Strategic Guidance Committee reviews and recommends best One-Call center practices geared to organizational changes with the benefit of damage prevention in mind. Both groups offer stakeholder representation and offer successful components of element eight.

ELEMENT 9 - DAMAGE PREVENTION PROGRAM REVIEW

"A process for review and analysis of the effectiveness of each program element, including a means for implementing improvements identified by such program reviews."

Does the proposed project address this element? (Required) Yes

Describe any existing state initiatives that support this element: (Required)

Dig Safely New York currently utilizes 2 software solutions to measure the effectiveness of our damage prevention programs. Irth Awareness, a software application is being utilized to track educational efforts to provide an in-depth analysis of our damage prevention programs. Information such as where an advertisement was placed with projected outreach can be reported. Most importantly, the application allows for a geographical overview utilizing the map engine. This allows visual analysis to quickly determine areas where education has and has not taken place. It also supports the uploading of associated documentation related to the educational effort. This will allow us to keep track of attendees in a centralized easy to manage application.

Additionally, Dig Safely New York has an instance of Virtual Private DIRT. Utilizing it and Irth Awareness provides a focused set of tools to further the effectiveness of our damage prevention programs. Having Virtual Private DIRT on a state level, allows NY to collect and analyze damage reports in our area of operation. Utilizing this data, we can measure and report the overall effectiveness of our damage prevention efforts related to the root causes for damages.

Through continued analysis and improved practices we should be able to continually improve the effectiveness of our programs.

Describe how the proposed project will enhance or continue implementation of this element: (Required only if proposal addresses this element)

Estimated budget for this element: (Required only if proposal addresses this element)

a. Personnel:	\$0.00
b. Fringe Benefits:	\$0.00
c. Travel:	\$0.00
d. Equipment:	\$0.00
e. Supplies:	\$0.00
f. Contractual:	\$0.00
g. Construction:	\$0.00
h. Other:	\$0.00
i. Total Direct Charges (sum of a through h):	\$0.00
j. Indirect Charges:	\$0.00
k. TOTAL (sum of i and j):	\$0.00

Budget Narrative for this element: (Required only if proposal addresses this element)

Legislative/Regulatory Actions

Provide a description of any legislature or regulatory actions (including legislative/regulatory studies) taken by the State within the past five (5) years pertaining to damage prevention program improvement, even if those actions were not completely successful.

There's been several proposals to amend the Public Service Law and/or General Business Law that have been introduced by either the State Senate or Assembly, but none progressed to the point of passing and becoming law. The issues addressed were: Revise the definition of "excavation" to remove the limitation that it be by mechanized equipment. This would have the effect of making some excavation activities with hand tools require a One Call notice. Revise the definition of "underground facilities" to change "have been installed by" to "are underground and are utilized by." This would have the effect of requiring utility operators to mark service line that had not been traditionally marked, such as electric, sewer and water, because the operator considered those the customers responsibility. Revise the penalty provisions to replace the provision that a second violation, which would be subject to a higher penalty, had to be within a 2-month period on the self same excavation project to make it on any project within a twelve-month period. In 2007 this was proposed with no increase in the actual penalty amounts. In 2008 and 2009 the proposal included 2-month to 12/month revision, plus increasing the penalty amounts from \$1000 to \$2500 for a first violation, and from \$7500 to \$10,000 for a subsequent violation.

State Damage Prevention Estimated Budget - Calendar Year 2011

DIRECT COSTS

Personnel	\$2,400.00
Fringe Benefits	
Travel	\$907.58
Equipment	
Supplies	
Contractual	\$96,463.00
Construction	
Other	
Total Direct	\$99,770.58

INDIRECT COSTS

Indirect Charges	
------------------------	--

TOTAL ESTIMATED COSTS

\$99,770.58

State Damage Prevention Application Attachments



2010-DSNY Grant Governor Letter.pdf
Seaboard printing quote.pdf
Awareness Development requirements.pdf
trailer_mockup.pdf
Voss Sign Trailer wrap.pdf
2011 Budget.xls
Purdybilt by UpscoQuote.doc
092110_watertown_dpc_event.pdf

REGISTRATION

FAX: 315-437-2621

Tuesday, September 21, 2010 • Ramada Inn, Watertown

NAME	_____	ADDITIONAL ATTENDEES:	_____
TITLE	_____		_____
COMPANY	_____		_____
ADDRESS	_____		_____
CITY	_____	STATE	_____
		ZIP	_____
PHONE	_____	FAX	_____
EMAIL	_____		_____



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East Syracuse, NY 13057

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PERMIT NO. 4245



JOIN US FOR A  EVENT WITH A

COMPLIMENTARY BREAKFAST!

Tuesday, September 21, 2010

Hosted by the Central New York Damage Prevention Council in Cooperation with National Grid and Iroquois Gas.



YOU ARE INVITED...

The CNY DPC would like to invite you and your employees to attend a **COMPLIMENTARY BREAKFAST** at our underground damage prevention and safe digging event in Watertown, New York. This program will provide those attending with valuable information about Dig Safely New York operations (one-call center), the potential hazards of underground utilities, methods of safe digging and an overview of state enforcement. Representatives from the companies listed below will be in attendance as a resource to discuss, plan and coordinate safe excavation efforts in your area. Dig Safely New York and the CNY Damage Prevention Council offer this program as part of an ongoing effort to increase the knowledge and understanding of safe digging and damage prevention in New York State. Please join us for this informative event.

nationalgrid
The power of action.

For event details, please visit www.digsafelynewyork.com



EVENT DETAILS

Tuesday, September 21, 2010

**The Ramada Inn
6300 Arsenal Street
Watertown, NY 13601**

2.0 hours of NYS Department of Health Water operator CEU credits will be available. Please remember to bring your operator license number.



AGENDA

- 7:00am Registration and buffet breakfast
- 8:00am Dig Safely New York: "Underground Damage Prevention, A Shared Responsibility" - Jim Flint
- 9:00am National Grid perspectives on damage prevention - Chris Spurchise
- 9:15am Department of Public Service - Kyle Robinson
- 9:30am Iroquois Gas Pipeline perspectives on damage prevention
- 9:45am Door Prize Raffle and closing

Raffle immediately following presentations!

.....▶ 2 WAYS TO REGISTER

1. Complete Form and fax to:
315-437-2621
2. Online @
www.digsafelynewyork.com

***PLEASE REGISTER BY SEPT. 17!**





STATE OF NEW YORK
EXECUTIVE CHAMBER
ALBANY 12224

DAVID A. PATERSON
GOVERNOR

September 3, 2010

Mr. Warren Osterberg
U.S. Department of Transportation
Pipeline & Hazardous Materials Safety Administration
ATTN: Office of Contracts and Procurement (PHA-30)
1200 New Jersey Avenue, SE, Room E22-305
Washington, D.C. 20590

Dear Mr. Osterberg:

This letter authorizes the organization listed below to apply for the Federal Grant—Funding Opportunity DTPH56-11-SN-0001 - State Damage Prevention Program—for New York State for calendar year 2010.

Dig Safely New York, Inc.
5063 Brittonfield Parkway
East Syracuse, New York 13057

In New York, our Public Service Commission and One Call Centers share US DOT'S interest in preventing excavation-related damages to buried utilities and appreciate that grant funding is being made available to promote improvements in that area.

Warmest regards.

Sincerely,

A handwritten signature in cursive script that reads "David A. Paterson".

David A. Paterson

Estimated Budget			
Personnel	Description	Rate	Estimated Cost
	Project Manager Administrative Time (40 hrs)	\$ 40.00	\$ 1,600.00
Total Personnel			\$ 1,600.00
Fringe Benefits	Description	Rate	Estimated Cost
		\$ -	\$ -
Total Fringe Benefits			\$ -
Travel	Description	Rate	Estimated Cost
			\$ -
Total Travel			\$ -
Equipment	Description	Rate	Estimated Cost
		\$ -	\$ -
Total Equipment			\$ -
Supplies	Description	Rate	Estimated Cost
Total Supplies			\$ -
Contractual	Description	Rate	Estimated Cost
	PurdyBilt by UPSCO: Fabrication of custom trailer		\$ 35,479.00
	Voss Signs: Trailer Wrap inside and out	\$ 7,600.00	\$ 7,600.00
Total Contractual			\$ 43,079.00
Construction	Description	Rate	Estimated Cost
Total Construction			
Other	Description	Rate	Estimated Cost
Total Other			
Total Direct Charges			\$ 44,679.00
Indirect charges			\$ -
Total			\$ 44,679.00



September 2, 2010

CUSTOMER

FUNCTIONAL REQUIREMENTS FOR DIG SAFELY NEW YOURK

WORK TO BE DONE

AWARENESS TRAINING CLASS TRACKING

DESCRIPTION

Customer is requesting the ability to track information with regards to Dig Safely New York training classes. Initial talks include:

1. Ability to link people (attendees) to a company
2. Create on-line training registration form(s)
 - a. Save form information so it can be reported on
 - b. Save form information so mailers can be created
3. Track and use "Training Credits"
4. Alert users when training credits will expire
5. Integrate a signing device to allow users to sign their registration form and store the results
 - a. Bar coding in a name tag program was discussed

Parts of the IRTHNet Awareness program will need to be re-written in order for this new development to be integrated with the existing Awareness data/information. These are only estimated costs. Personnel from DSNY and IRTH Solutions will sit down to completely document the statement of work (SOW). This will allow both parties to better define the final processes and cost of this project.



September 2, 2010

Customer Development Charge

Estimated Cost \$50,000

Disclaimer

By executing this document below, both parties, IRTH Solutions, Inc. and Dig Safely New York Company have read, understand, and agree to the Scope of Work and it's in entirety throughout this document. Any change to the Scope of Work will result in additional fees as well as extend the delivery time frame.

IRTH SOLUTIONS, INC.

CUSTOMER: DIG SAFELY NEW YORK

		TOTAL	
Date Quoted:			

Quote Good For 30 Days



09.07.10

PROTECTION OF UNDERGROUND FACILITIES BROCHURE ESTIMATE

BROCHURE:

Job Description:

Cover prints 2 sides on 80# uncoated cover, full color. Text pages print 38 pages, 2 sides on 50# offset white, black ink only. Collate together, stitch and fold to a final size of 7 x 8.5

Qty: 20,000—\$3,384.00

This quotation is based upon the job specifications listed above and valid for 90 days unless otherwise noted. Quotation may be subject to change if job specifications are altered in any way (ex: size, stock, ink etc.). Typesetting / art charges and taxes are NOT included in this quote unless noted above. Requested rush orders will be accommodated and may incur an additional rush charge fee.

If you have any questions or concerns, please feel free to contact me at anytime.
Thank you,

Larry Kuhn
President

Dig Safely. New York
Call 811
before you dig.

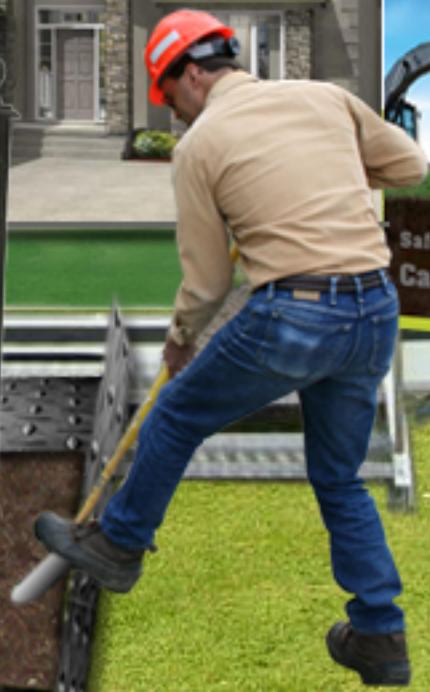
Dig Safely. New York
Call 811
before you dig.

Dig Safely. New York
Call 811
before you dig.



KNOW what's below.
Call 811 before you dig.

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Call 811 before you dig.





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 Voss Federal ID No. 16-1553963

KEVIN HOPPER
 DIG SAFELY NEW YORK
 5063 BRITTONFIELD PARKWAY
 EAST SYRACUSE NY 13057

September 8, 2010

Quotation 985976

Page 1 of 1

Phone: 315-437-7394, Fax:

Subject: Our quote number 985976, Customer number 48398

Quote for wrap inside/outside of custom trailer including design, proof, print, install, and remove/replace hardware.

Material: vehicle grade wrap vinyl

Size: 12' x 8' x 8' Gauge: decal

Background Color: na

Print Color (s): full color

Mounting Holes: no

Round Corners: no

with vehicle grade protective laminate

	QUANTITY	PRICE EACH	SUB TOTAL	ART CHARGE	SUB TOTAL	SALES TAX	TOTAL
vehicle wrap	1	\$7,600.00	\$7,600.00		\$7,600.00		\$7,600.00

Terms: 30 days or credit card

Delivery: 2 weeks after proof approval plus install time

Freight: na

Via: customer pick up

Sales Tax: na

Thank you for the opportunity to quote your sign needs. You will receive color templates for your approval before we print. Installation appointment will be made at proof approval.

Sincerely,


 Jonathan Bauer

Application for Federal Assistance SF-424

Version 02

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify) <input type="text"/>
--	--	---

* 3. Date Received: <input type="text" value="09/08/2010"/>	4. Applicant Identifier: <input type="text"/>
--	--

5a. Federal Entity Identifier: <input type="text"/>	* 5b. Federal Award Identifier: <input type="text"/>
--	---

State Use Only:

6. Date Received by State: <input type="text"/>	7. State Application Identifier: <input type="text"/>
---	---

8. APPLICANT INFORMATION:

* a. Legal Name:

* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="Ex-216768"/>	* c. Organizational DUNS: <input type="text" value="010770600"/>
---	---

d. Address:

* Street1:	<input type="text" value="5063 Brittonfield Parkway"/>
Street2:	<input type="text"/>
* City:	<input type="text" value="East Syracuse"/>
County:	<input type="text"/>
* State:	<input type="text" value="NY: New York"/>
Province:	<input type="text"/>
* Country:	<input type="text" value="USA: UNITED STATES"/>
* Zip / Postal Code:	<input type="text" value="13057-0000"/>

e. Organizational Unit:

Department Name: <input type="text"/>	Division Name: <input type="text"/>
--	--

f. Name and contact information of person to be contacted on matters involving this application:

Prefix: <input type="text" value="Mr ."/>	* First Name: <input type="text" value="Kevin"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Hopper"/>	
Suffix: <input type="text"/>	

Title:

Organizational Affiliation:

* Telephone Number: <input type="text" value="315-437-7394"/>	Fax Number: <input type="text"/>
---	----------------------------------

* Email:

Application for Federal Assistance SF-424

Version 02

9. Type of Applicant 1: Select Applicant Type:

A: State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

*** 10. Name of Federal Agency:**

Pipeline & Hazardous Material Safety Administration

11. Catalog of Federal Domestic Assistance Number:

20.720

CFDA Title:

State Damage Prevention Program Grants

*** 12. Funding Opportunity Number:**

DTPH56-11-SN-0001

* Title:

State Damage Prevention Grants

13. Competition Identification Number:

Title:

14. Areas Affected by Project (Cities, Counties, States, etc.):

*** 15. Descriptive Title of Applicant's Project:**

Dig Safely New York State Damage Prevention

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

Version 02

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="99,770.58"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="99,770.58"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)**

- Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:

Middle Name:

* Last Name:

Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: * Date Signed:

Application for Federal Assistance SF-424

Version 02

*** Applicant Federal Debt Delinquency Explanation**

The following field should contain an explanation if the Applicant organization is delinquent on any Federal Debt. Maximum number of characters that can be entered is 4,000. Try and avoid extra spaces and carriage returns to maximize the availability of space.

BUDGET INFORMATION - Non-Construction Programs

OMB Approval No. 4040-0006
Expiration Date 07/30/2010

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1. State Damage Prevention Program		\$	\$	\$ 99,770.58	\$ 0.00	\$ 99,770.58
2.						
3.						
4.						
5. Totals		\$	\$	\$ 99,770.58	\$	\$ 99,770.58

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
	SDP				
a. Personnel	\$ 2,400.00	\$	\$	\$	\$ 2,400.00
b. Fringe Benefits	0.00				
c. Travel	907.58				907.58
d. Equipment	0.00				
e. Supplies	0.00				
f. Contractual	96,463.00				96,463.00
g. Construction	0.00				
h. Other	0.00				
i. Total Direct Charges (sum of 6a-6h)	99,770.58				\$ 99,770.58
j. Indirect Charges	0.00				\$
k. TOTALS (sum of 6i and 6j)	\$ 99,770.58	\$	\$	\$	\$ 99,770.58
7. Program Income	\$	\$	\$	\$	\$

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Standard Form 424A (Rev. 7- 97)
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SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e)TOTALS
8. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
9. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
10. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
11. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
12. TOTAL (sum of lines 8-11)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ <input type="text"/>				
14. Non-Federal	\$ <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
15. TOTAL (sum of lines 13 and 14)	\$ <input type="text"/>				

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b)First	(c) Second	(d) Third	(e) Fourth
16. <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>
17. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
18. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
19. <input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
20. TOTAL (sum of lines 16 - 19)	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>	\$ <input type="text"/>

SECTION F - OTHER BUDGET INFORMATION

21. Direct Charges: <input type="text"/>	22. Indirect Charges: <input type="text"/>
23. Remarks: <input type="text"/>	

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION	
<input style="width: 90%;" type="text" value="Dig Safely New York"/>	
* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
Prefix: <input style="width: 50px;" type="text" value="Mr."/>	* First Name: <input style="width: 150px;" type="text" value="Kevin"/> Middle Name: <input style="width: 100px;" type="text"/>
* Last Name: <input style="width: 200px;" type="text" value="Hopper"/>	Suffix: <input style="width: 50px;" type="text"/>
* Title: <input style="width: 250px;" type="text" value="Operations Manager"/>	
* SIGNATURE: <input style="width: 250px;" type="text" value="Kevin Hopper"/>	* DATE: <input style="width: 100px;" type="text" value="09/08/2010"/>