

FEDERAL FINANCIAL REPORT

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted U.S. DOT / PHMSA / Office of Pipeline Safety	2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) DTPH56-14-G-PPS10	Page 1	of pages
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3. Recipient Organization (Name and complete address including Zip code)
 Department of Public Safety, Minnesota Office of Pipeline Safety, 445 Minnesota Street, Suite 147, St. Paul, MN 55101-5147

4a. DUNS Number 804886729	4b. EIN 41-6007162	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) DTPH56-14-SN-000001	6. Report Type <input type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual
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8. Project/Grant Period From: (Month, Day, Year) 09/22/2014	To: (Month, Day, Year) 09/21/2015	9. Reporting Period End Date (Month, Day, Year) 03/31/2015
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10. Transactions Cumulative

(Use lines a-c for single or multiple grant reporting)

Federal Cash (To report multiple grants, also use FFR Attachment):

a. Cash Receipts	50,000.00
b. Cash Disbursements	66,310.97
c. Cash on Hand (line a minus b)	-16,310.97

(Use lines d-o for single grant reporting)

Federal Expenditures and Unobligated Balance:

d. Total Federal funds authorized	100,000.00
e. Federal share of expenditures	66,310.97
f. Federal share of unliquidated obligations	
g. Total Federal share (sum of lines e and f)	66,310.97
h. Unobligated balance of Federal funds (line d minus g)	33,689.03

Recipient Share:

i. Total recipient share required	
j. Recipient share of expenditures	
k. Remaining recipient share to be provided (line i minus j)	

Program Income:

l. Total Federal program income earned	
m. Program income expended in accordance with the deduction alternative	
n. Program income expended in accordance with the addition alternative	
o. Unexpended program income (line l minus line m or line n)	

11. Indirect Expense	a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
		9.0%	09/22/2014	03/31/2015	57,901.77	5,211.16	5,211.16
g. Totals:					57,901.77	5,211.16	5,211.16

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify that it is true, complete, and accurate to the best of my knowledge. I am aware that any false, fictitious, or fraudulent information may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)

a. Typed or Printed Name and Title of Authorized Certifying Official JONATHAN C. WOLFGRAM CHIEF ENGINEER	c. Telephone (Area code, number and extension) 651-201-7234
b. Signature of Authorized Certifying Official 	d. Email address Jonathan.Wolfgram@state.mn.us

	e. Date Report Submitted (Month, Day, Year) 4/22/15
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14. Agency use only:

Standard Form 425
 OMB Approval Number: 0348-0061
 Expiration Date: 10/31/2011

Paperwork Burden Statement
 According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is 0348-0061. Public reporting burden for this collection of information is estimated to average 1.5 hours per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0060), Washington, DC 20503.

2014 State Damage Prevention Program Grants Progress Report
CFDA Number: 20.720

Award Number: DTPH56-14-G-PPS10

Project Title: Minnesota Department of Pipeline Safety State Damage Prevention Grant

Date Submitted: April 22, 2015

Submitted by: Jonathan Wolfgram / Mike Mendiola

Specific Objective(s) of the Agreement

- Develop and implement methods for effective communication
- Foster support and partnership with stakeholders
- Reviewing the adequacy of internal performance measures
- Support a Damage Prevention Education Program for industry stakeholders
- Support Public Awareness and Stakeholder Education
- Resolving disputes to define State authority's role
- Foster and promote the use of improving technologies
- Review the effectiveness of Damage Prevention Programs

Workscope

Under the terms of this grant agreement, the Grantee will address the following elements listed in 49U.S.C. § 60134 (b) through the actions it has specified in its Application.

- **Element 1 (Effective Communications):** Participation by operators, excavators, and other stakeholders in the development and implementation of methods for establishing and maintaining effective communications between stakeholders from receipt of an excavation notification until successful completion of the excavation, as appropriate.
- **Element 2 (Comprehensive Stakeholder Support):** A process for fostering and ensuring the support and partnership of stakeholders, including excavators, operators, locators, designers, and local government in all phases of the program.
- **Element 3 (Operator Internal Performance Measurement):** A process for reviewing the adequacy of a pipeline operator's internal performance measures regarding persons performing locating services and quality assurance programs.
- **Element 4 (Effective Employee Training):** Participation by operators, excavators, and other stakeholders in the development and implementation of effective employee training programs to ensure that operators, the one-call center, the enforcing agency, and the excavators have partnered to design and implement training for the employees of operators, excavators, and locators.
- **Element 5 (Public Education):** A process for fostering and ensuring active participation by all stakeholders in public education for damage prevention activities.
- **Element 6 (Dispute Resolution):** A process for resolving disputes that defines the State authority's role as a partner and facilitator to resolve issues.
- **Element 8 (Technology):** A process for fostering and promoting the use, by all appropriate stakeholders, of improving technologies that may enhance communications, underground pipeline locating capability, and gathering and analyzing information about the accuracy and effectiveness of locating programs.
- **Element 9 (Damage Prevention Program Review):** A process for review and analysis of the effectiveness of each program element, including a means for implementing improvements identified by such program reviews.

Accomplishments for this period (Item 1 under Article IX, Section 9.01 Progress Report: “A comparison of actual accomplishments to the objectives established for the period.”)

Objective	Accomplishments (approximate numbers based on grant period as of report date)
Enforcement actions and follow-up collections specific to SDP Grant	65 Damage Prevention Violations Cited. Of these, 1 violation was cited as a result of investigations funded by the SDP Grant.
In-office/Field investigations and research	37 Damage Prevention complaint/incident cases
Statistical analysis and complaint and incident trending	Volunteer Damage Reporting, (VDR) Mandatory gas leak reporting on all intrastate excavation related leaks. (see in next section)
Court proceedings and conciliations	Court cases and conciliations ongoing as needed.
Compliance monitoring of one-call center operations, frequent offenders, and types of offenses	The Pipeline Safety Director sits on the one-call center board. The MNOPS Damage Prevention manager sits on the one-call center communications committee. Participation in these groups allows MNOPS to continually monitor the one call center activities. Additionally, MNOPS collects and reviews all pipeline related gas releases due to excavation related activities. This allows MNOPS to track and appropriately address frequent offenders.
Rules and law review	Proposed statutory changed to the definition of excavation were placed on hold by the Governor’s office and were not considered during the 2014 session. MNOPS expects to reconvene MS216D discussions with stakeholders in 2015.
<p>Outreach</p> <ul style="list-style-type: none"> ▪ Safety presentations to excavators ▪ Safety & training presentations for Operators & locators ▪ Annual conference with Damage Prevention track ▪ Safety messages for the general population 	<p>MNOPS is currently conducting damage prevention meetings for 2015 (Feb-May and on as-needed basis). The meetings will consist of a presentation reviewing the excavation laws in Minnesota via case studies. The case studies are actual on-site damage investigations performed by MNOPS inspectors. During the grant period, 45 presentations were conducted throughout Minnesota where over 4900 excavators / utility operators were engaged regarding damage prevention and underground utility safety.</p> <p>MNOPS continues to use the SDP grant to establish greater focus on underground utility damages as a result of Agricultural Tiling. An Ag Awareness Committee has been created to focus on education and awareness of excavation safety in rural agricultural areas. This has been combined under the Minnesota Regional CGA.</p> <p>In 2015, the SDP grant was partially used to attend Community Awareness Emergency response</p>

	<p>educational meetings throughout Minnesota.</p> <p>The MNOPS Damage Prevention manager provided 2 radio interviews regarding 'April Safe Digging Month' and 'Calling 811 Before You Dig' on April 1st, 2015: one to WCCO and one to Minnesota News Network. The Damage Prevention manager is scheduled to perform a live-in-studio interview for KSTP tv regarding the same message on April 18, 2015.</p>
Involvement in regional Common Ground Alliance	<p>MNOPS Participated in 3 Regional CGA meetings in 2014 and will participate in at least 3 in 2015 during the current SDP grant period (thru 9/21/2015).</p> <p>MNOPS has engaged in the formulation of a Regional Base Common Ground Alliance in Minnesota. The damage prevention team currently serves as the chair for both the MNCGA Best Practices Committee and Agricultural Committee.</p>
Active Participation in area Utility Coordinating Committees	MNOPS Participated in 4 State Utility Coordinating Committees, attending a total of 16 regional UCC meetings.
Participation in the one-call center Operations and Communications Committees	MNOPS Participated in all Gopher State One Call Operations and Communications Committee meetings.

Quantifiable Metrics/Measures of Effectiveness (Item 2 under Article IX, Section 9.01 Progress Report: “Where the output of the project can be quantified, a computation of the cost per unit of output.”)

As of the report date, The Minnesota Office of Pipeline Safety (MNOPS) has been actively conducting Damage Prevention meetings around the State. MNOPS continues to attend and participate in the national CGA meetings and has been participating in the regional CGA meetings. The regional CGA functioned to facilitate and register Damage Prevention Presentation attendees. This was the second year of coordinating the meetings in this fashion. The MNCGA website was used almost exclusively for registrants to attend meetings. MNOPS continues to participate and attend regular Utility Coordinating Committees throughout the State.

During the performance period, MNOPS cited 18 one call law code violations, issued \$16,100 in penalties. The SDP grant funded (37) investigations resulting in 9 violations and \$15,100 in penalties.

The damage data noted below maintains the historic trend downward of damages per 1000 locates for all utilities and for gas utility damages. The 2014 gas utility damages reported to MNOPS were calculated to be 2.07 per 1000 locates, essentially equivalent to the historic low of 2.06 damages per 1000 locates obtained in 2013.

Case Type	Case Count	Total Hours
Accident/Investigation*	0	0
Administration**	15	415.5
One Call or Pipeline Inquiry/Complaint	5	33
Presentation	52	681.75
Grand Total	72	1130.25

*As of March 31, 2015, all hours for accident/investigation cases have been applied to the One Call Grant.

**Administration hours is time applied to reviewing operators' damage reporting, case administration, communication with stakeholders, presentation(s) preparation, and assembly of publications (MNOPS Damage Prevention Newsletter, MNOPS Agricultural Pamphlet, media interview preparation, etc...)

2014 SDP Grant Summary of Presentations - Grant Hours

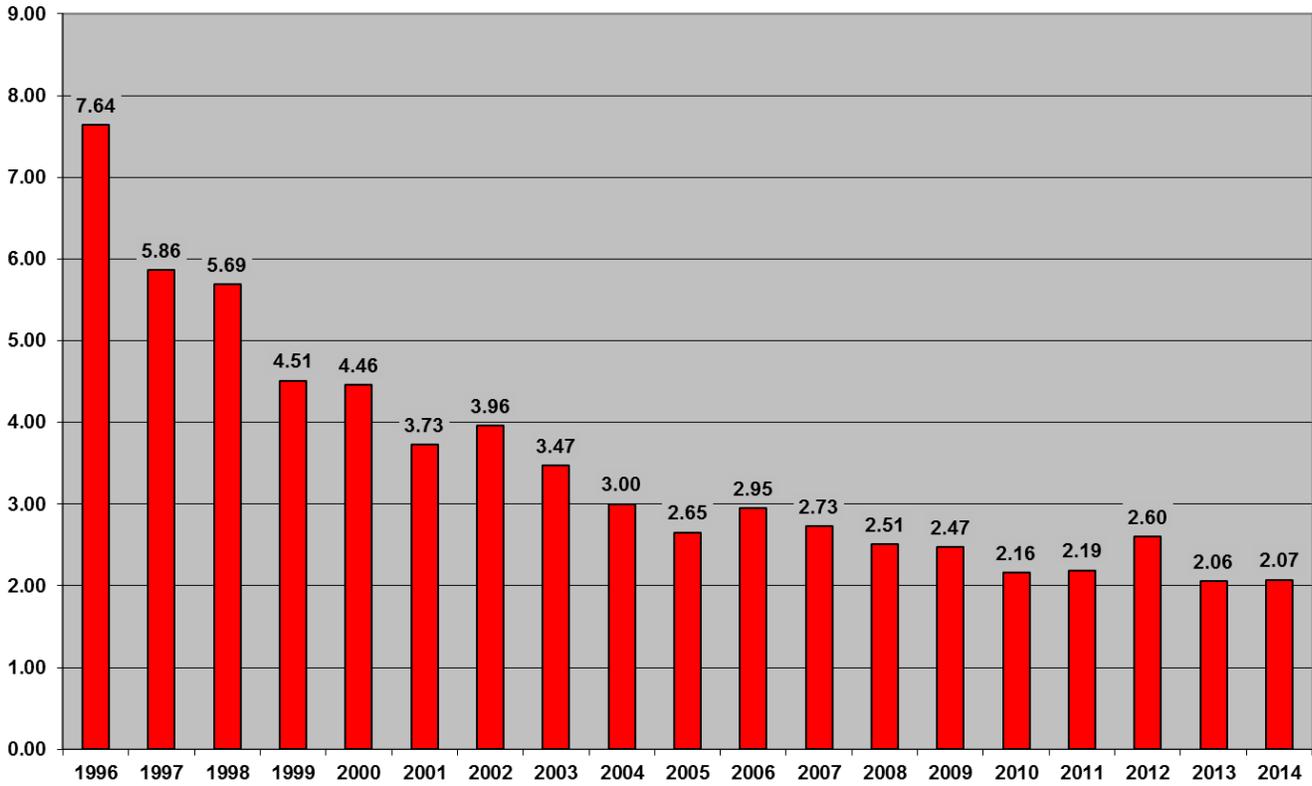
CaseID	Presentation Description	Hours	CaseID	Presentation Description	Hours
50545718	New York Mills DPP	8	50536079	2015 MNOPS Damage Prevention Presentation	12
50545618	Park Rapids DPP	8	50535474	2015 Willmar/Spicer DPP	10
50544774	Hinckley Excavator Training	8	50535374	DPP (PUCC) - New Ulm	11
50544679	2015 MNOPS Damage Prevention Presentation	4	50534874	DPP (PUCC) - Rochester	25
50544318	Grand Forks DPP	14	50534379	2015 MNOPS Damage Prevention Presentation	15.5
50544293	2015 MNOPS Damage Prevention Presentation	7	50533879	2015 MNOPS Damage Prevention Presentation	18
50543879	2015 MNOPS Damage Prevention Presentation	8	50533385	Hinckley Excavator Training	10
50543779	2015 MNOPS Damage Prevention Presentation	19	50533285	Fehn Companies	4
50543679	2015 MNOPS Damage Prevention Presentation	4	50531293	CAER	9.5
50543285	Northern Dewatering	4	50530979	MNOPS DPP	9
50542079	2015 MNOPS Damage Prevention Presentation	5	50530379	2015 Damage Prevention Presentation	9
50541718	Mlaskoch DPP w/NSP	6	50529592	CAER	4.75
50541618	Hutchinson DPP	5.5	50529492	CAER	3.5
50541518	DPP for Searless Well Drilling.	4	50529392	CAER	6.5
50541385	DPP - St. Cloud excavator licensing	7	50528718	Public Awareness For Fire Officers	66
50540179	2015 MNOPS Damage Prevention Presentation	5	50527684	Tyler MNCAER	12
50539779	2015 MNOPS Damage Prevention Presentation	5.5	50505818	Palda & Sons DPP	10
50539381	DPP Presentation - Rosemount	8	50542574	Foley - DPP	8
50539081	DPP Presentation	6	50542281	DPP- Morris	12
50538981	DPP Presentation - Austin MN	10	50541818	Virginia/Hibbing area DPP	10
50538718	Mankato - DPP	14	50538285	Cass-Clay UCC	10
50538179	2015 MNOPS Damage Prevention Presentation	4	50525079	DPP - A&A Electric Underground	8
50537674	49rs Excavator Training - St. Cloud	7	Total Presentation Hours 9/22/2014 to 3/31/2015		447

2014 SDP Grant Summary of Presentations - Attendees

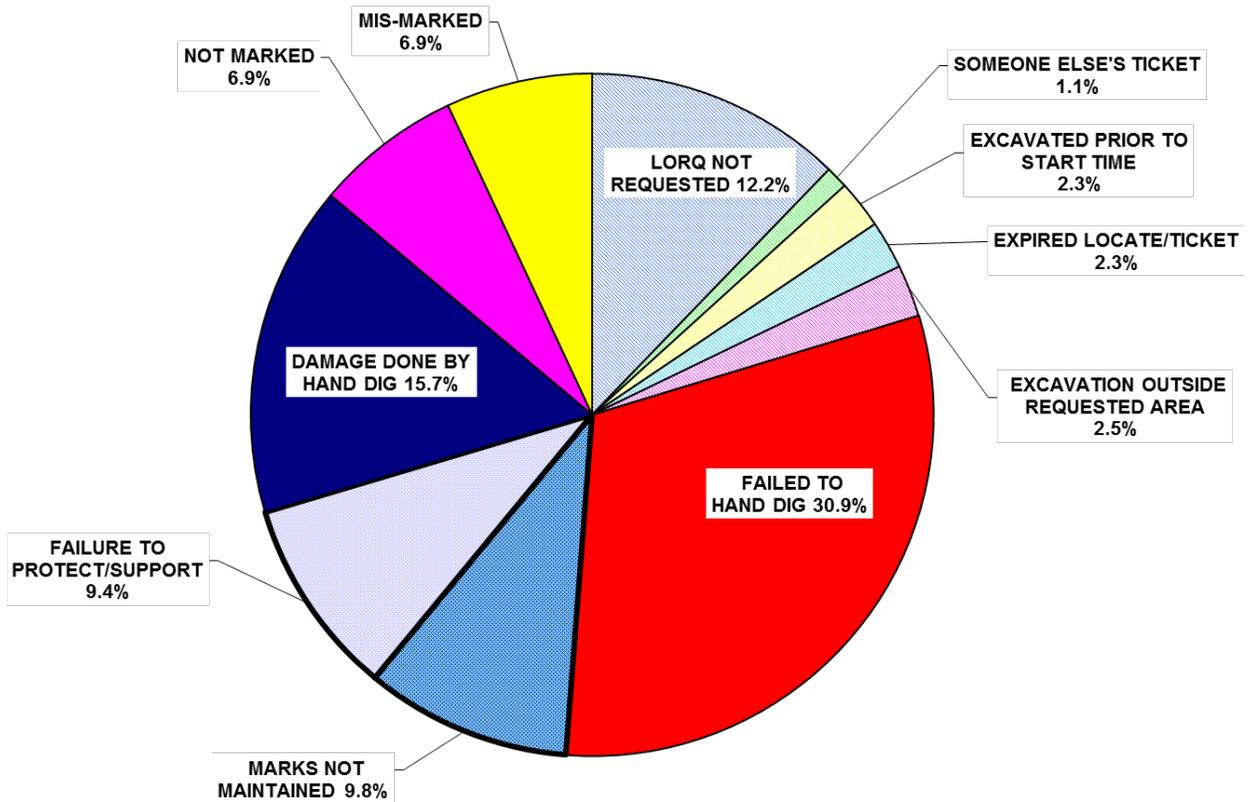
CaseID	Presentation Description	Location	Venue	Attendees
50545718	New York Mills DPP	New York Mills, MN		188
50545618	Park Rapids DPP	Bemidji		79
50544774	Hinckley Excavator Training	40276 Fishtail Rd, Hinckley, MN 55037	49rs Training Facility	32
50544679	2015 MNOPS Damage Prevention Presentation	1785 Hwy. 210, Carlton, MN 55718	Black Bear Casino	120
50544318	Grand Forks DPP	Grand Forks	Ramada Inn	300
50544293	2015 MNOPS Damage Prevention Presentation	40276 Fishtail Road, Hinckley, MN 55037	IUOE Local 49 Union Training Facility	41
50543879	2015 MNOPS Damage Prevention Presentation	13692 Rogers Drive, MN 55374	Muller 18 Movie Theater	120
50543779	2015 MNOPS Damage Prevention Presentation	708 Front Street, Brainerd, MN 56401	American Legion	71
50543679	2015 MNOPS Damage Prevention Presentation	5600 85th Avenue North, Brooklyn Park, 55443	Brooklyn Park Community Center	169
50543285	Northern Dewatering	Otsego	Rockwood Cafe	31
50542079	2015 MNOPS Damage Prevention Presentation	40276 Fishtail Road, Hinckley, MN 55037	IUOE Local 49 Union Training Facility	37
50541718	Mlaskoch DPP w/NSP	Willow River	Mlaskoch shop	14
50541618	Hutchinson DPP	Hutchinson		240
50541518	DPP for Searless Well Drilling.	St. Peter	Class room at the Family Fresh Market	12
50541385	DPP - St. Cloud excavator licensing	St. Cloud	Kelly Inn	53
50540179	2015 MNOPS Damage Prevention Presentation	115 30th Avenue East, Alexandria, MN 56308	Fat Daddy's Bowling Alley & Events Center	128
50539779	2015 MNOPS Damage Prevention Presentation	100 4th Avenue South, St. Cloud, MN 56301	Best Western Plus Kelly Inn	60
50539381	DPP Presentation - Rosemount	Rosemount		211
50539081	DPP Presentation	Owatonna MN	Eagles Club	225
50538981	DPP Presentation - Austin MN	Austin, MN	Austin Country Club	205
50538718	Mankato - DPP	Mankato, MN		200
50538179	2015 MNOPS Damage Prevention Presentation	7533 Sunwood Drive Northwest, Ramsey, MN 55303	The Fountains of Ramsey Event Center	186
50537674	49rs Excavator Training - St. Cloud	St. Cloud	Kelly Inn	62

50536079	2015 MNOPS Damage Prevention Presentation	5734 Sturgeon Lake Road, Welch, MN 55089	Treasure Island Resort & Casino	40
50535474	2015 Willmar/Spicer DPP	Spicer, MN	American Legion - Spicer	177
50535374	DPP (PUCC) - New Ulm	New Ulm	Holiday Inn - New Ulm	128
50534874	DPP (PUCC) - Rochester	Rochester	Clarion Inn	133
50534379	2015 MNOPS Damage Prevention Presentation	2100 White Bear Ave. Maplewood, MN 55109	Maplewood Community Center	118
50533879	2015 MNOPS Damage Prevention Presentation	1100 Canterbury Rd, Shakopee, MN 55379	Canterbury Park	154
50533385	Hinckley Excavator Training	40276 Fishtail Rd, Hinckley, MN 55037	49rs Training Facility	43
50533285	Fehn Companies	Saint Michael	City Hall Board Room	58
50531293	CAER	Caledonia		30
50530979	MNOPS DPP	City of Mpls Public Works 1200 Currie Ave Minneapolis	Currie Training Facility	35
50530379	2015 Damage Prevention Presentation	1200 Currie Ave N, Minneapolis MN, 55358	City of Mpls Public Works	40
50529592	CAER	Benson, MN	McKinney's on Southside restaurant	103
50529492	CAER	Granite Falls, MN	Prairie's Edge Casino Resort	56
50529392	CAER	Wheaton, MN	American Legion	33
50528718	Public Awareness For Fire Officers	2100 Arrowwood Lane Northwest, Alexandria, MN 56308	Arrowwood Resort & Conference Center	40
50527684	Tyler MNCAER	Tyler, MN		39
50505818	Palda & Sons DPP	St. Paul	Palda & Sons shop	59
50542574	Foley - DPP	Foley		250
50542281	DPP- Morris	Morris		211
50541818	Virginia/Hibbing area DPP	Hibbing		208
50538285	Cass-Clay UCC	Fargo		200
50525079	DPP - A&A Electric Underground	Kasson, MN		25
			Total Attendees	4966

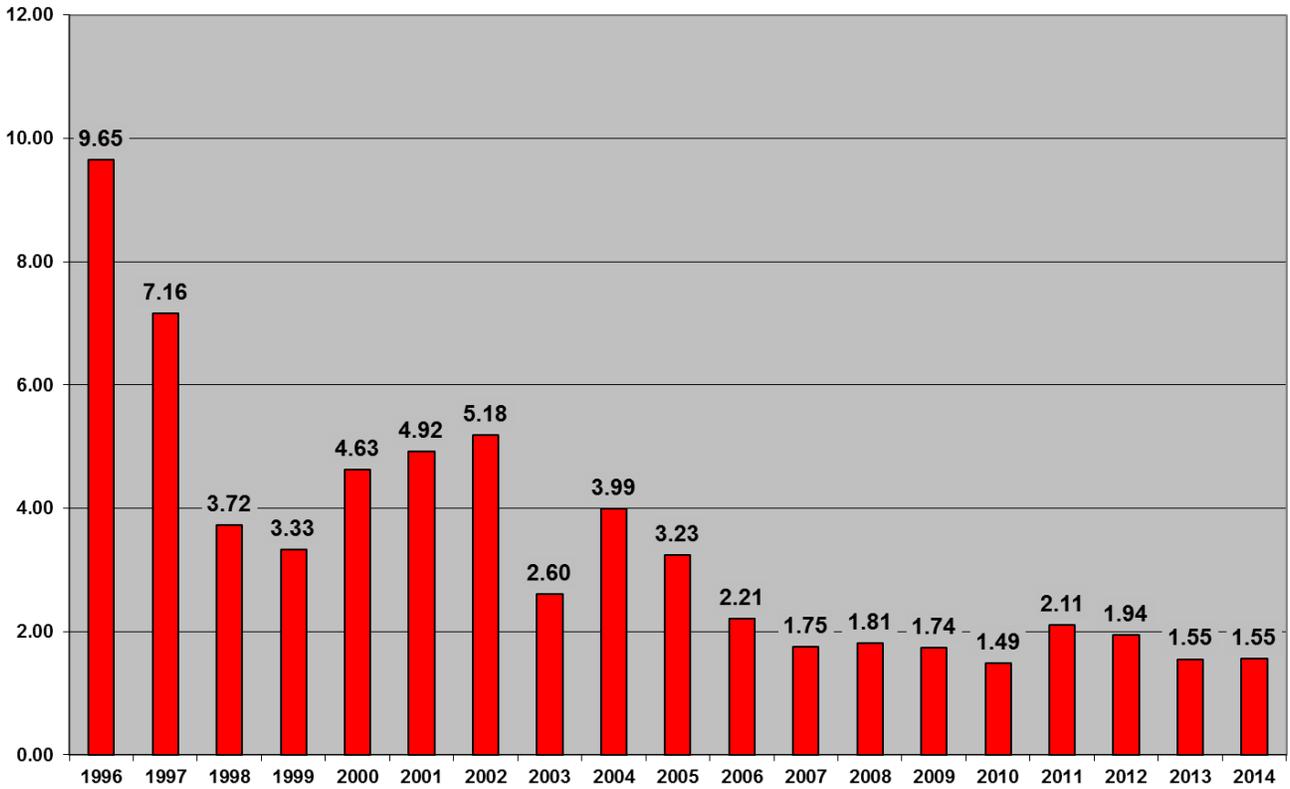
Gas - Damages PER 1000 Locates



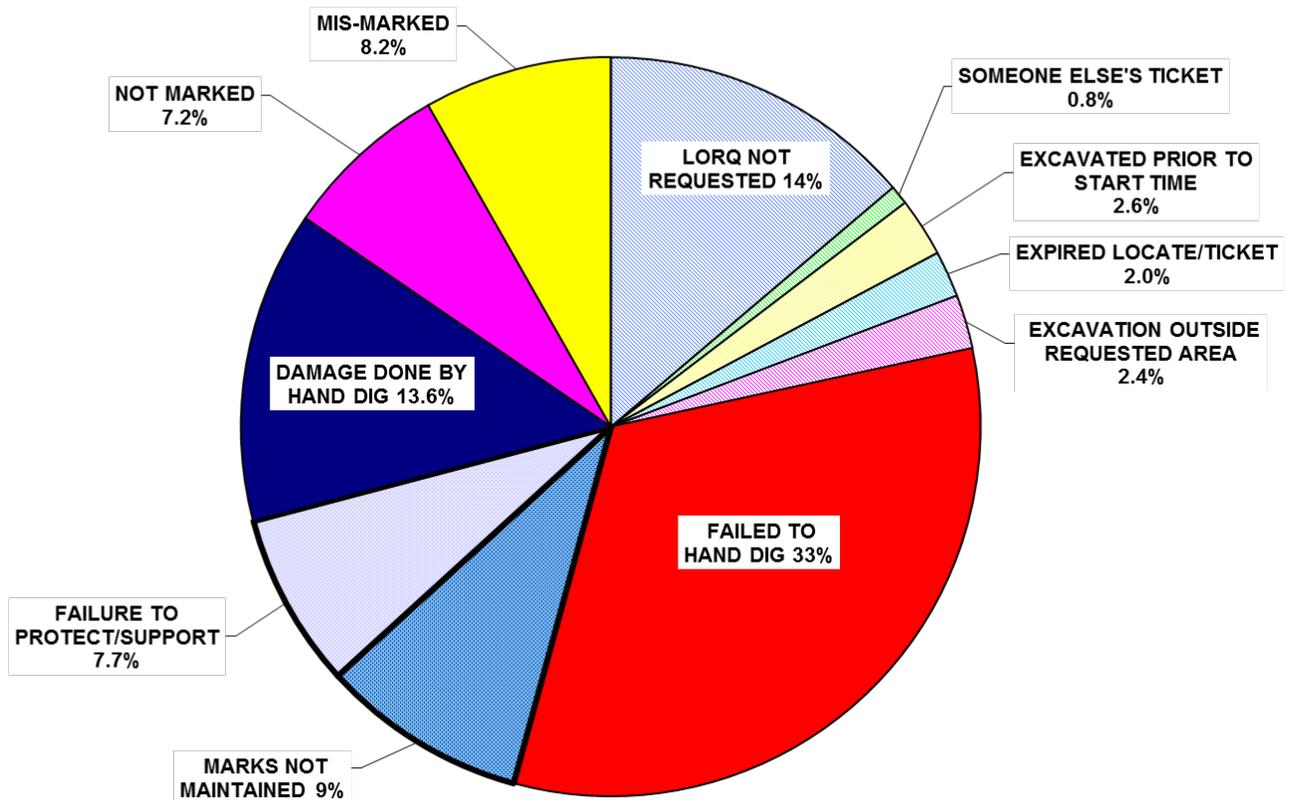
2014 Gas



All Utilities - DAMAGES PER 1000 Locates



2014 Damages by Cause - All Utilities



Issues, Problems or Challenges (Item 3 under Article IX, Section 9.01 Progress Report: “The reasons for slippage if established objectives were not met. “)

MNOPS has not encountered any issues or challenges during the current SDP grant period thus far.

Mid-term Financial Status Report

The mid-term financial report will be sent as a separate attachment to the AA and AOTR (Form SF-425). Included with be a breakdown of costs for each object class category (Personnel, Fringe Benefits, Travel, Equipment, Supplies, Contractual, Other and Indirect Charges).

Plans for Next Period (Remainder of Grant)

Continue with the objectives listed above.

Requests of the AOR and/or PHMSA

No actions requested at this time.